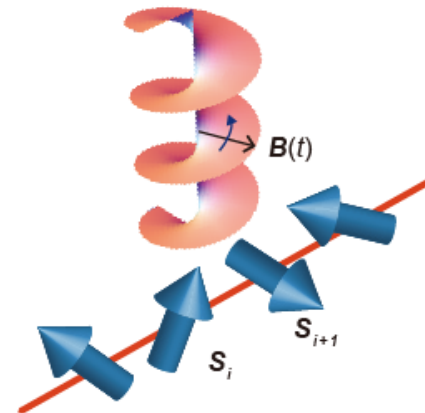
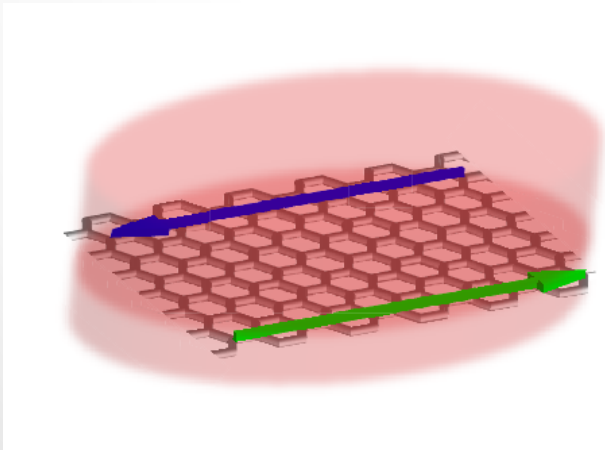


Floquet theory of laser-induced phase transitions and applications to quantum magnets

Takashi Oka

(U-Tokyo→Max Planck institute PKS, CPFS
new group “nonequilibrium quantum matter”)

T. Kitagawa (Harvard→Rakuten),
S. Takayoshi (U-Tokyo→NIMS→U-Tokyo→U-Geneva),
M. Sato (Aoyama→Japan Atomic Energy Agency),
H. Aoki (U-Tokyo)

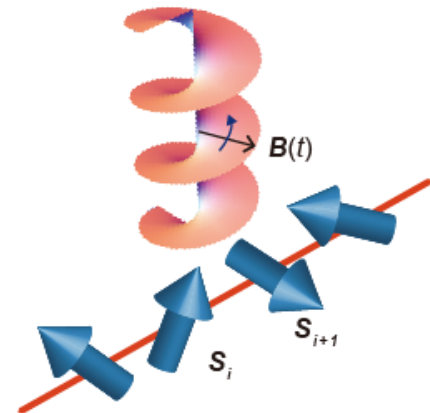
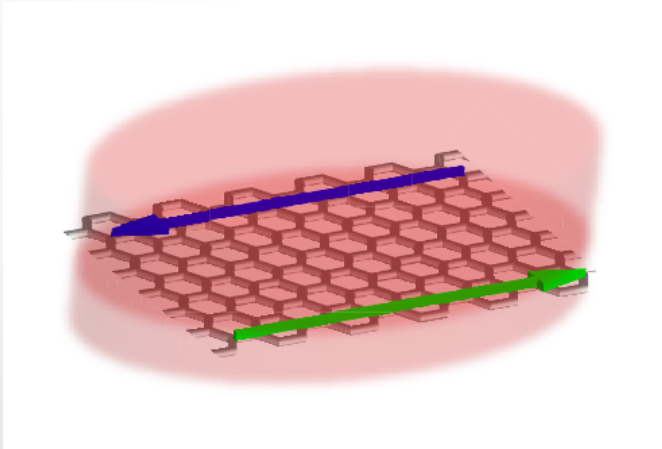


Take home message of my talk

For time-periodic system,

$$H(t) = \sum_m H_m e^{-im\Omega t}$$

$$H_{\text{eff}} = H_0 + \frac{[H_{-1}, H_1]}{\Omega} + \mathcal{O}(A^4)$$



Take home message 2

Some experiments with **High magnetic field facilities** might be replacable by **effective magnetic fields** induced by **laser**

High magnetic field facility

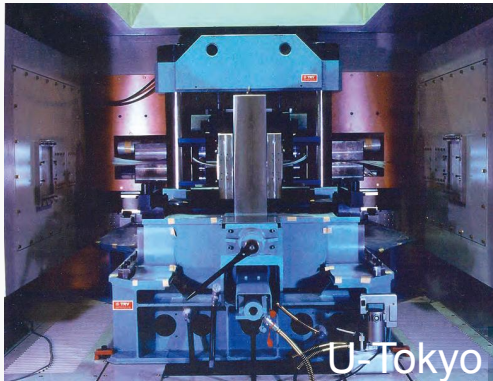
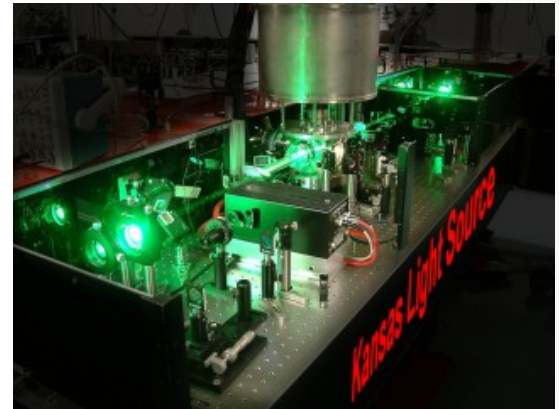


Table top laser experiments



Quantum Hall effect with Landau levels

Magnetization process in magnets

$B < 80$ Tesla

Quantum Hall effect **without** Landau levels

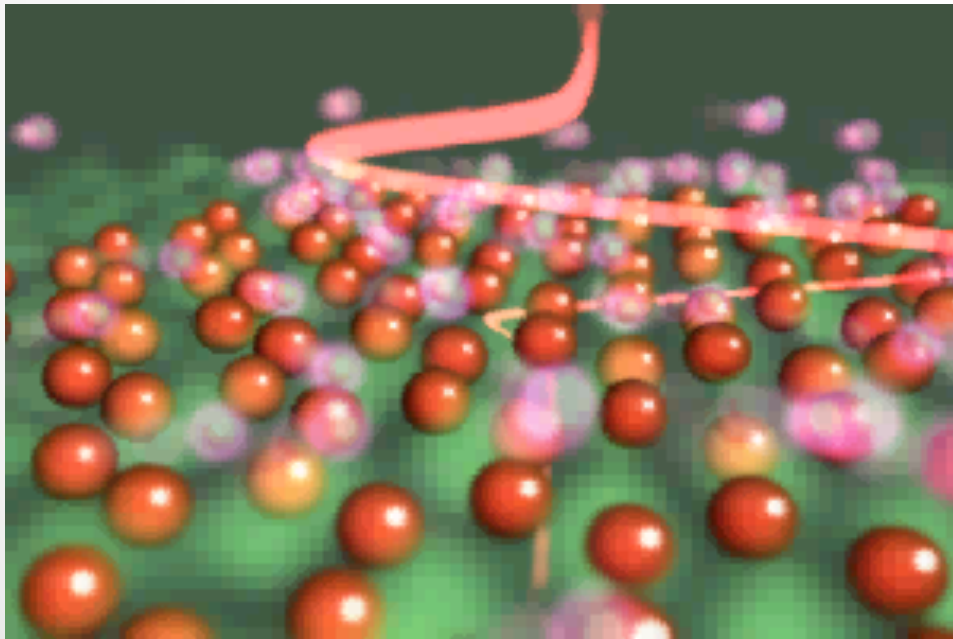
“Berry curvature effect”

Magnetization process in magnets

“effective magnetic field”

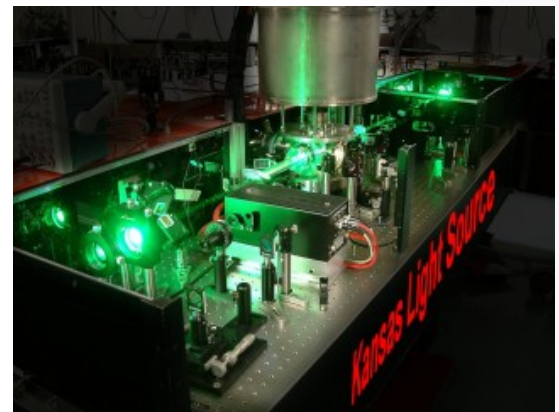
> 1000 Tesla!

“Nonequilibrium control of quantum matter”



animation by K. Tanaka (Kyoto)

Table top laser experiments



Quantum Hall effect **without** Landau levels

“Berry curvature effect”

Magnetization process in magnets

“effective magnetic field”

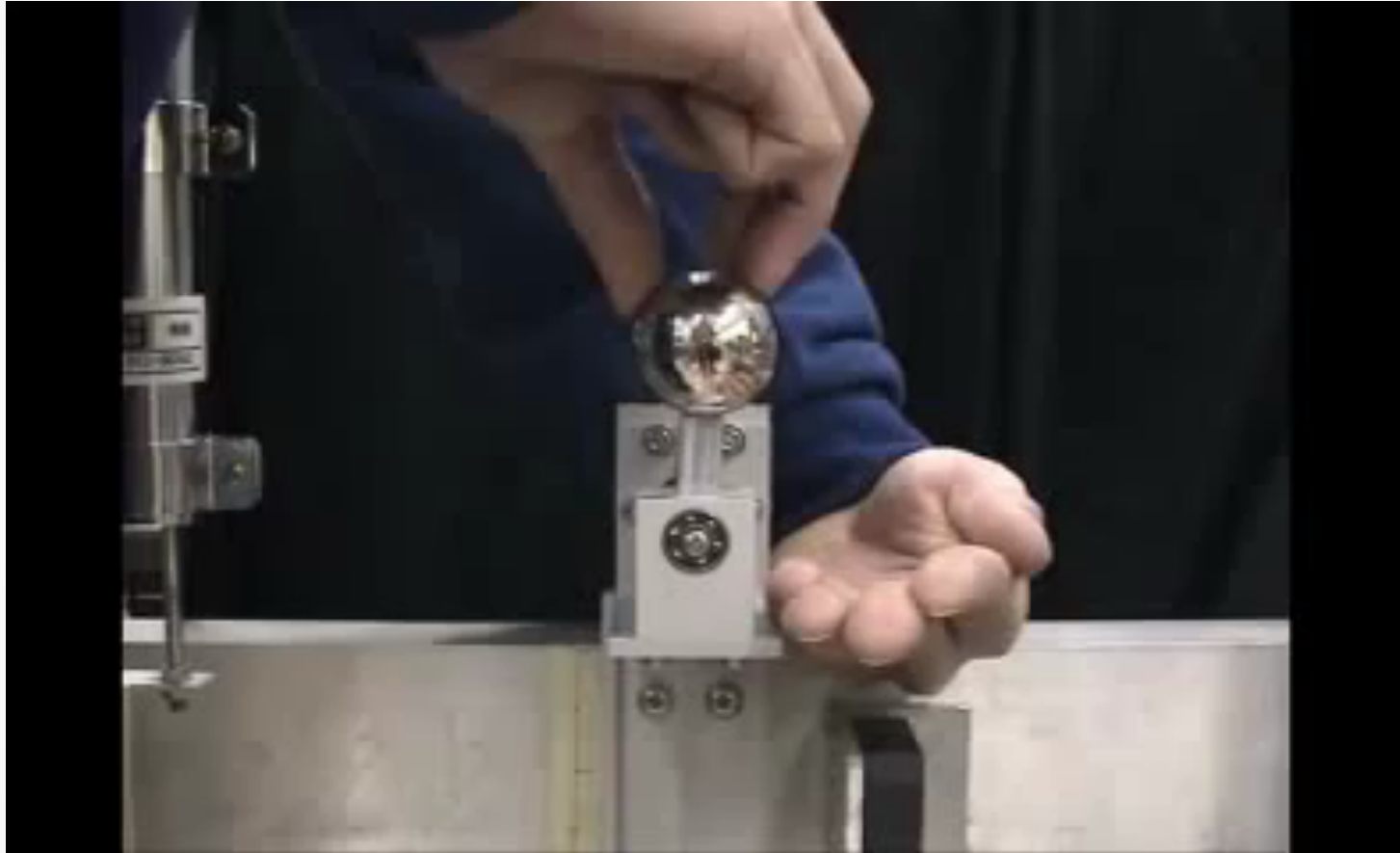
> 1000 Tesla!

What is a Floquet state?

Lets see some examples

ex.1: Kapitza's inverted pendulum

$$H(t) = \frac{p_\theta^2}{2m} - (g_0 + g_1 \cos(\Omega t)) \cos(\theta)$$



youtube

extension to quantum many-body systems (sine-Gordon model)

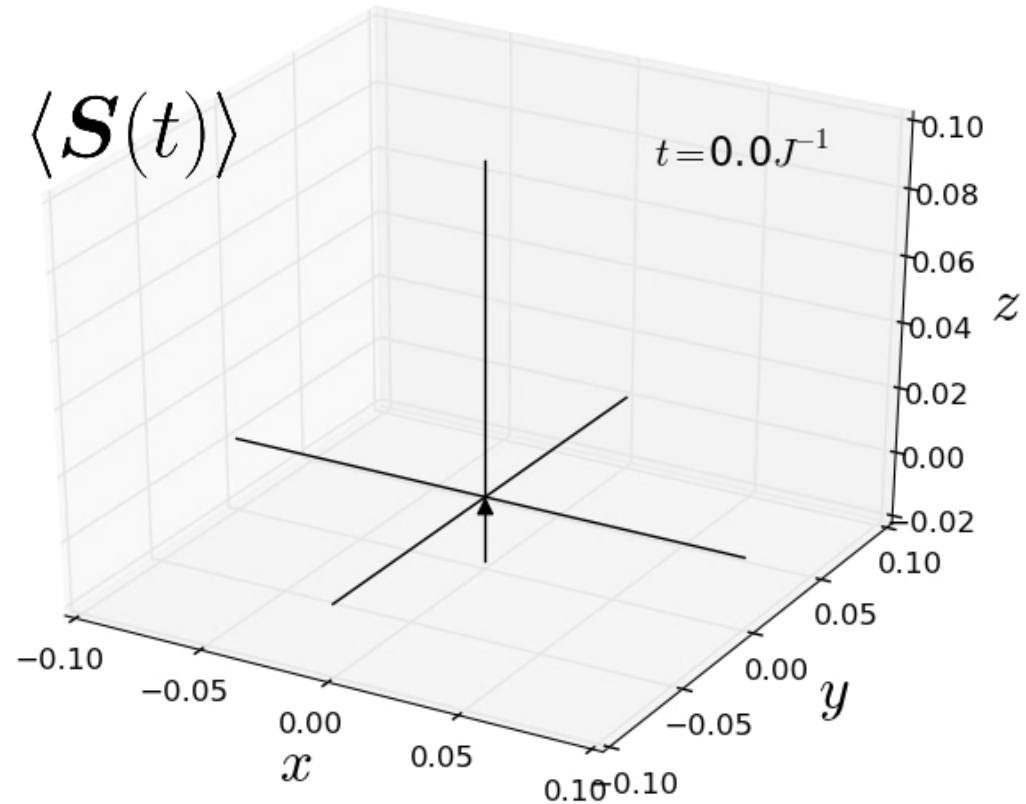
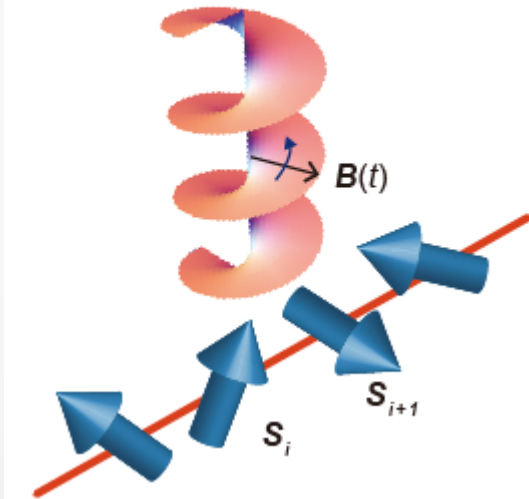
R. Citro, E. G. Dalla Torre, L. D'Alessio, A. Polkovnikov, M. Babadi, TO, and E. Demler, '15

ex. 2: Magnets in rotating magnetic fields

$$H(t) = \sum_i (J \mathbf{S}_i \cdot \mathbf{S}_{i+1} + D(S_i)^2 + \boxed{\mathbf{B}(t) \mathbf{S}_i})$$

Laser induced magnetization

$$\mathbf{B}(t) = (B \cos \Omega t, B \sin \Omega t)$$



Light matter coupling for lattice electrons

$$H(t) = \sum_{ij} t_{ij} e^{-i\phi_{ij}(t)} c_i^\dagger c_j$$

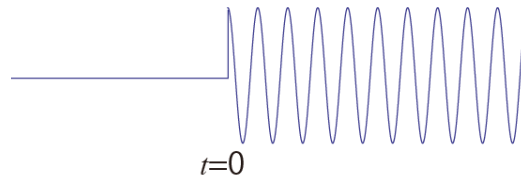
$$\phi_{ij} = \mathbf{e}_{ij} \cdot \mathbf{A}(t)$$

ex. 3: Dynamical localization

Dunlap Kenkre '86

$$H(t) = \sum_{ij} t_{ij} e^{-i\phi_{ij}(t)} c_i^\dagger c_j$$

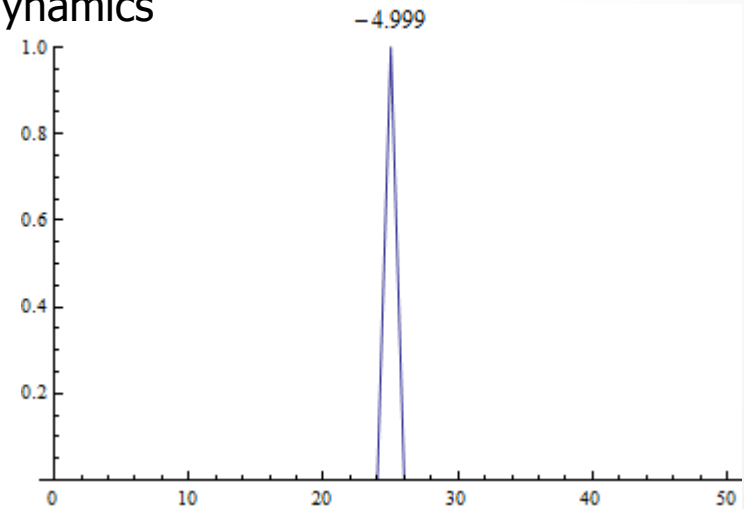
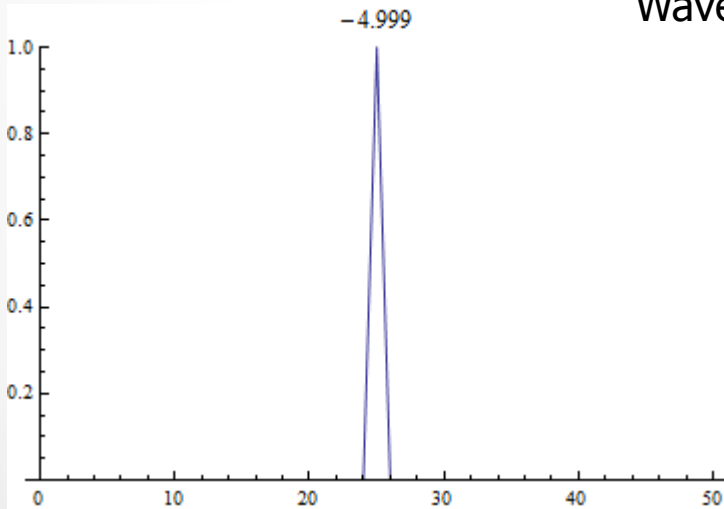
$$E(t) = E_0 \cos \Omega t \quad (t > 0)$$



$$\Omega = 8, E_0/\Omega = 2.41$$

$$\Omega = 8, E_0/\Omega = 4$$

Wave packet dynamics

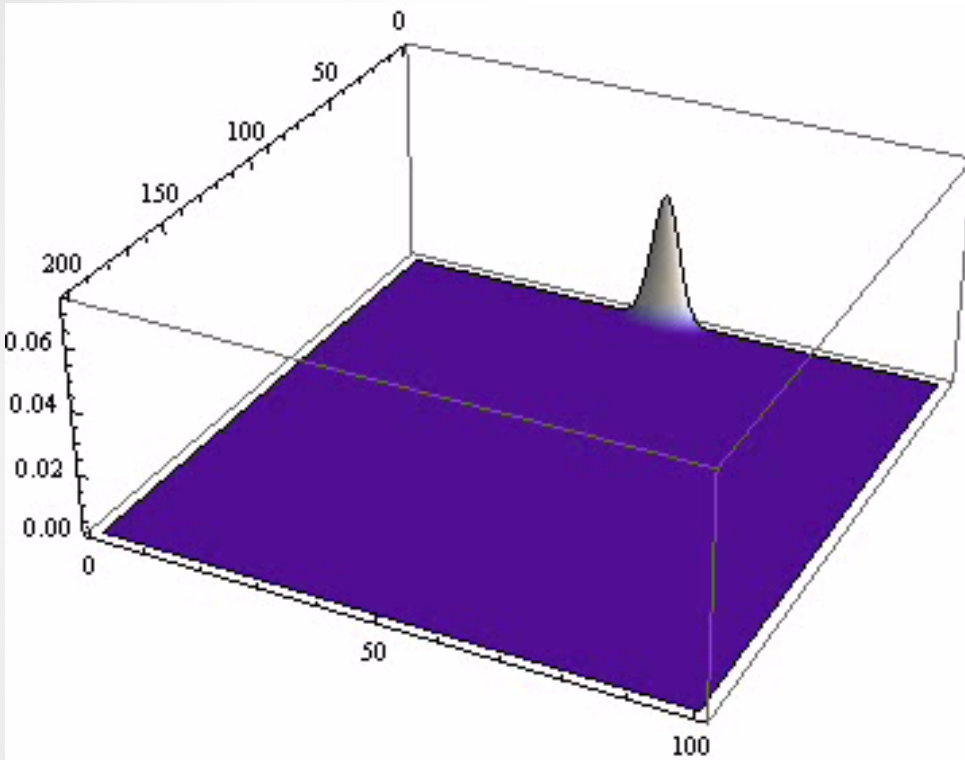


ex. 4: Floquet topological insulator

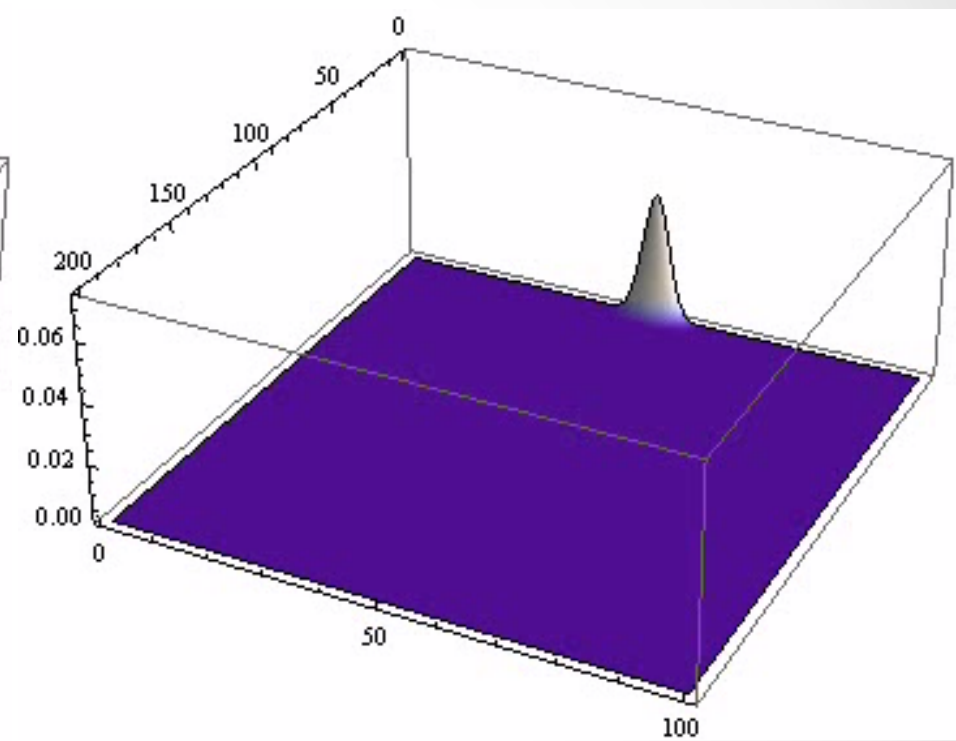
TO, Aoki '09

Kitagawa, TO, Fu, Brataas, Demler '11

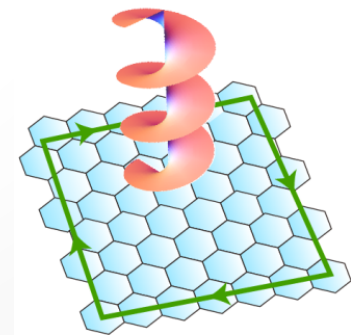
Wave packet dynamics in a honeycomb lattice



Without field



With circularly polarized laser



How can we understand these phenomena?

Floquet theory basics (1/4)

Choose the best frame

unitary transformation

$$|\Psi(t)\rangle = U^\dagger(t)|\Psi'(t)\rangle$$

$$H'(t) = U(t)H(t)U^\dagger(t) + i\partial_t U(t)U^\dagger(t)$$

e.g. 1d lattice fermion in ac-electric field

$$H(t) = -\sum_i \left[e^{i\frac{E}{\Omega} \sin \Omega t} c_{i+1}^\dagger c_i + \text{h.c.} \right] \quad H'(t) = -\sum_i \left[c_{i+1}^\dagger c_i + \text{h.c.} \right] + \sum_j n_j j E \cos \Omega t$$

physically equivalent

Floquet theory basics (2/4)

Use Fourier transformation

time periodic system

$$i\partial_t\psi = H(t)\psi$$

cf) P. Hanggi “Driven quantum systems” ‘98
a chapter in a book “Quantum transport and dissipation”.

$$H(t) = H(t + T) \quad \Omega = 2\pi/T$$



$$\Psi(t) = e^{-i\varepsilon t} \sum_m \phi^m e^{-im\Omega t}$$

Floquet Hamiltonian (static eigenvalue problem)

$$\sum_{m=-\infty}^{\infty} \mathcal{H}^{mn} \phi_{\alpha}^m = \varepsilon_{\alpha} \phi_{\alpha}^n \quad \varepsilon: \text{Floquet quasi-energy}$$

$$(\mathcal{H})^{mn} = \frac{1}{T} \int_0^T dt H(t) e^{i(m-n)\Omega t} + m\delta_{mn}\Omega I$$

comes from the $i\partial_t$ term

$$H_m = \mathcal{H}^{m0}$$

~ absorption of m “photons”³

Floquet theory basics (3/4)

Time-periodic quantum system = Floquet theory (exact) \sim effective theory

$$i\partial_t\psi = H(t)\psi$$

$$H(t) = H(t + T)$$

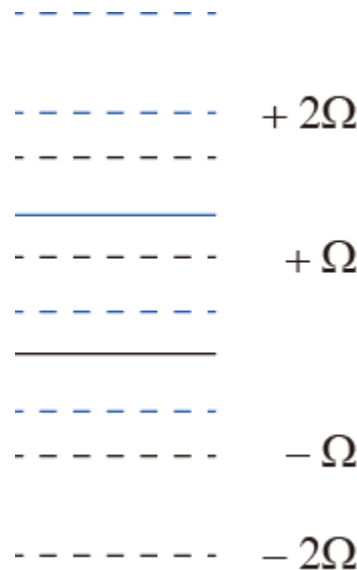
$$\mathcal{H}\phi = \varepsilon\phi$$

$$H_{\text{eff}} = H_0 + \sum_m \frac{[H_{-m}, H_m]}{m\Omega} + \mathcal{O}(\Omega^{-2})$$

two states + periodic driving



Floquet theory



projection to the original Hilbert space



Hilbert sp. size = original system

n -photon dressed state

Floquet side bands

Floquet theory basics (4/4)

various expansion schemes

Floquet-Magnus expansion

$$H_{\text{eff}} = H_0 + \frac{1}{2} \sum_{m \neq 0} \frac{[H_{-m}, H_m]}{m\Omega} + \frac{1}{3} \sum_{m, n \neq 0} \frac{[H_{-m}, [H_{m-n}, H_n]]}{nm\Omega^2} + \frac{1}{2} \sum_{m, n \neq 0} \frac{[H_m, [H_0, H_{-m}]]}{m^2\Omega^2} + \dots$$

The series expansion may **diverge**, especially when there is resonance

ex. 3: Dynamical localization

Dunlap Kenkre '86

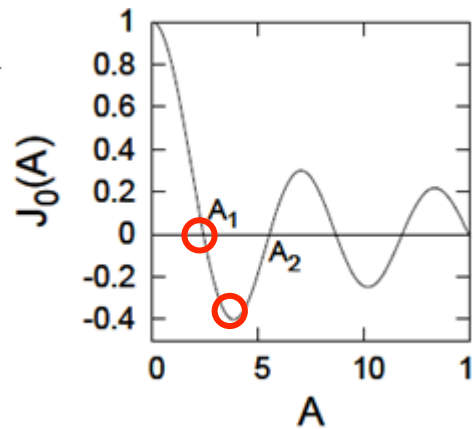
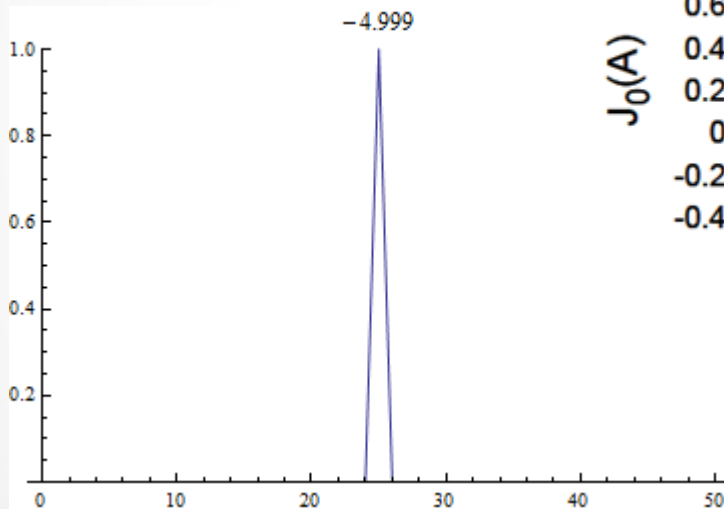
Fourier trans.

$$H(t) = - \sum_i \left[e^{i \frac{E}{\Omega} \sin \Omega t} c_{i+1}^\dagger c_i + \text{h.c.} \right] \longrightarrow H_m = -J_m(E/\Omega) \sum_i c_{i+1}^\dagger c_i - J_{-m}(E/\Omega) \sum_i c_i^\dagger c_{i+1}$$

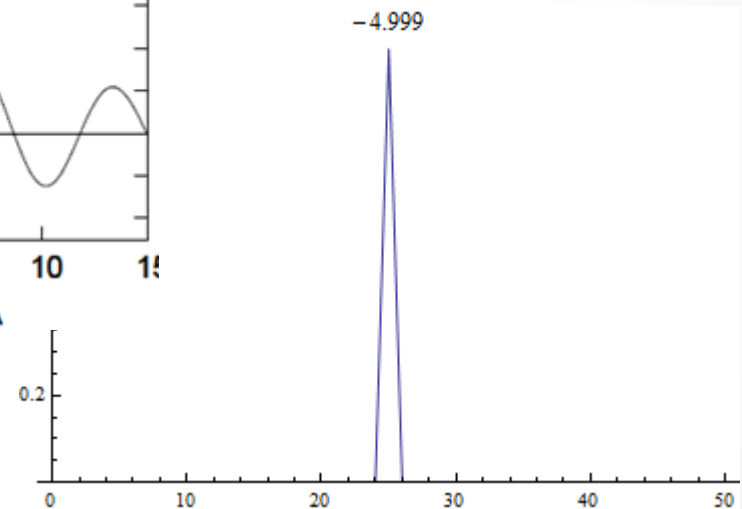
0-th order

$$\longrightarrow H_{\text{eff}} = -J_0(E/\Omega) \sum_i \left[c_{i+1}^\dagger c_i + \text{h.c.} \right] + \dots$$

$\Omega = 8, E_0/\Omega = 2.41$



$\Omega = 8, E_0/\Omega = 4$



evolution stops at the zero of the Bessel function

ex. 4: Floquet topological insulator

TO, Aoki '09

Kitagawa, TO, Fu, Brataas, Demler '11

1st order

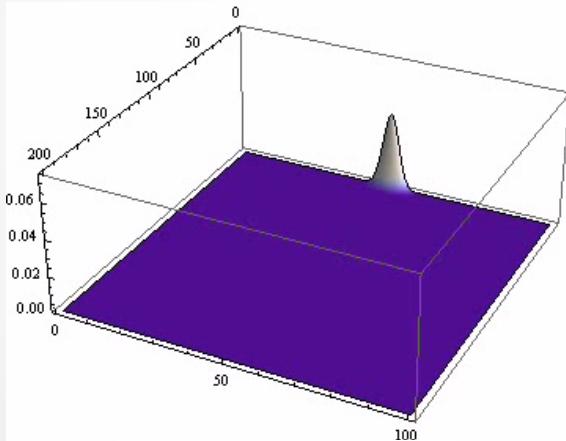
$$H_{\text{eff}} = H_0 + \frac{[H_{-1}, H_1]}{\Omega} + \mathcal{O}(A^4)$$

applied to honeycomb lattice

$$A = F/\Omega$$

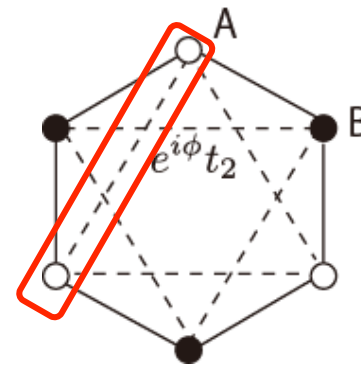
n. hopping + n. hopping = n.n. hopping with phase $\pi/2$

honeycomb + circularly polarized light

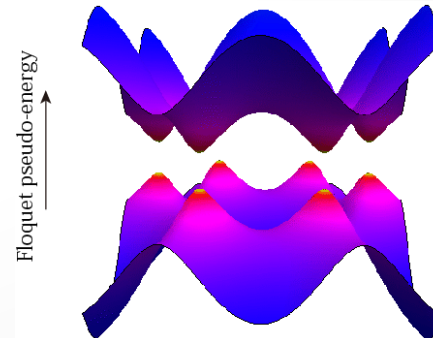
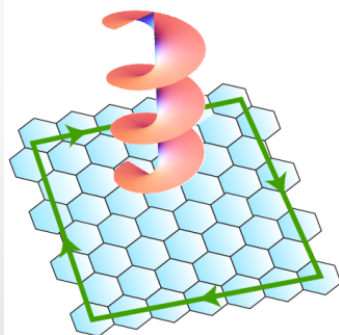
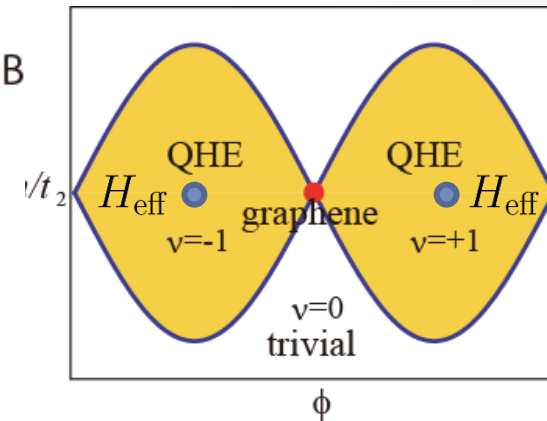


Haldane's Model of QHE without LL (1988)

1-st order



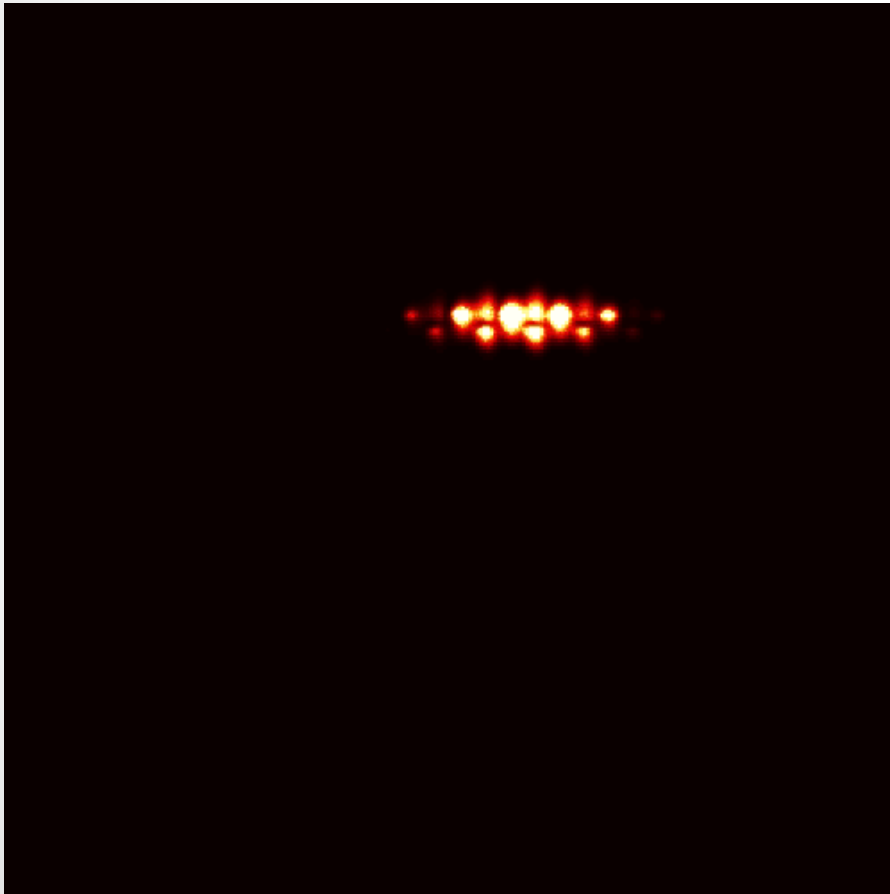
local magnetic field ϕ
AB-level offset m



Experiments of Floquet topological ins.

Rechtsman *et al.* Nature '13

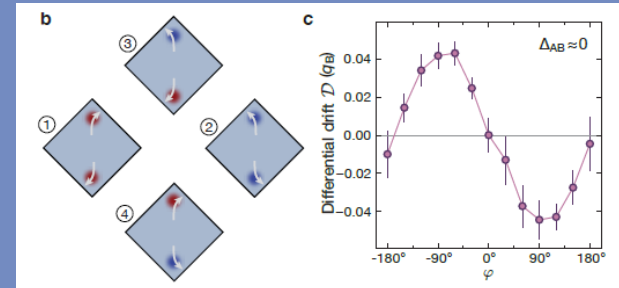
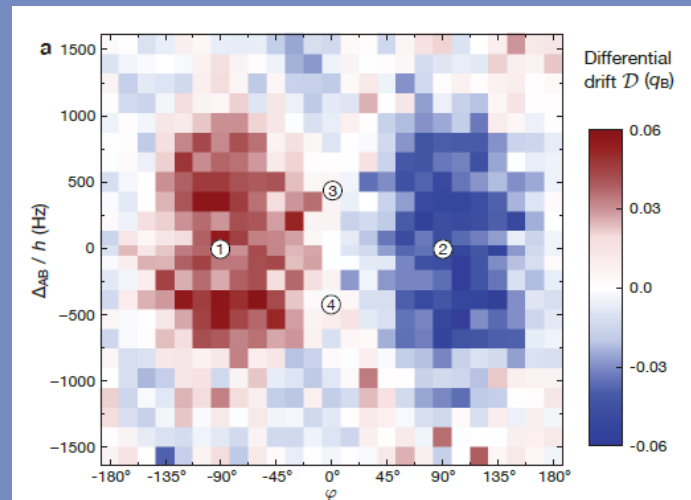
Realization in photonic crystals



Jotze, Esslinger *et al.* Nature '14

shaken cold atom

Drift measurement \sim conductivity



Ex. 4: Floquet topological insulator

TO, Aoki '09

Kitagawa, TO, Fu, Brataas, Demler '11

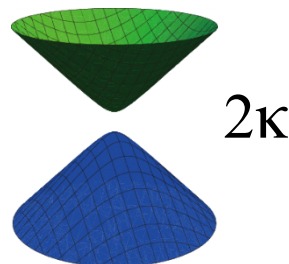
2D Dirac electron in circularly polarized laser

$$H(t) = k_x \sigma_x + k_y \sigma_y + A e^{i\Omega t} \sigma_- + A e^{-i\Omega t} \sigma_+$$

1st order

$$H_{\text{eff}} = H_0 + \frac{\left[\overset{\sim A\sigma_-}{H_{-1}}, \overset{\sim A\sigma_+}{H_1} \right]}{\Omega} + \mathcal{O}(A^4)$$
$$= k_x \sigma_x + k_y \sigma_y + \frac{A^2}{\Omega} \sigma_z + \dots$$

Dirac point



Dynamical gap

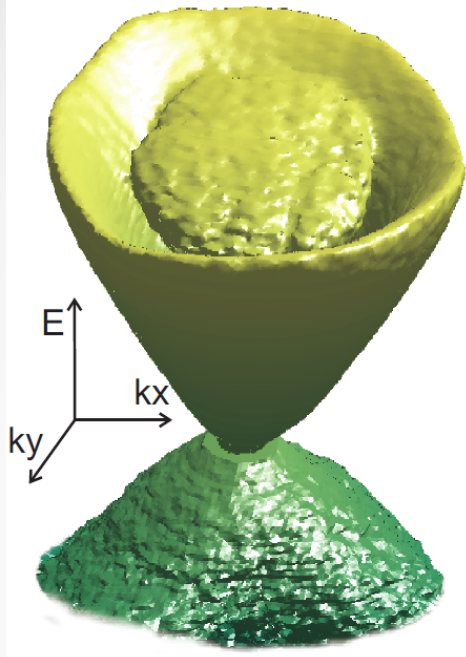
$$\kappa = \frac{\sqrt{4A^2 + \Omega^2} - \Omega}{2} \sim A^2/\Omega$$

Experiment using time resolved ARPES

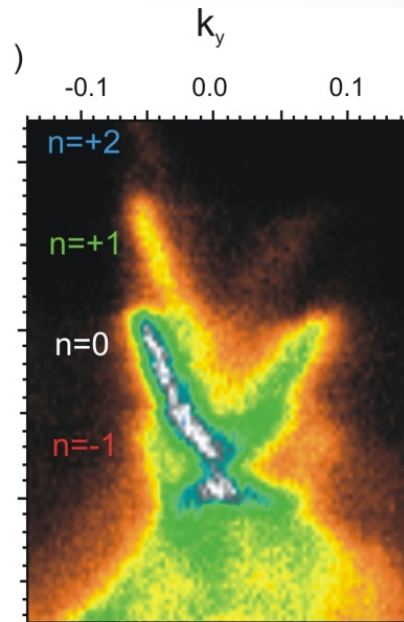
surface Dirac state of a TI

Gedik (MIT) Science '13

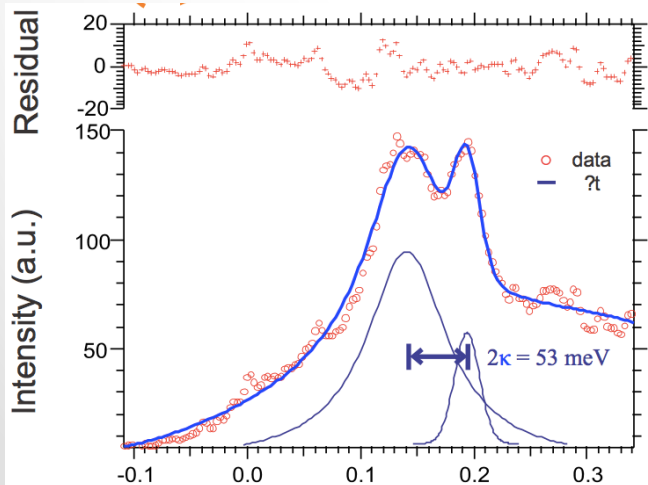
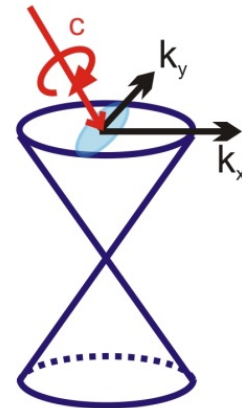
No laser



circularly polarized laser



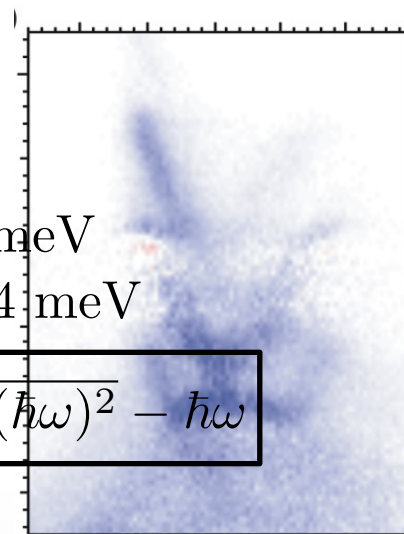
(E)



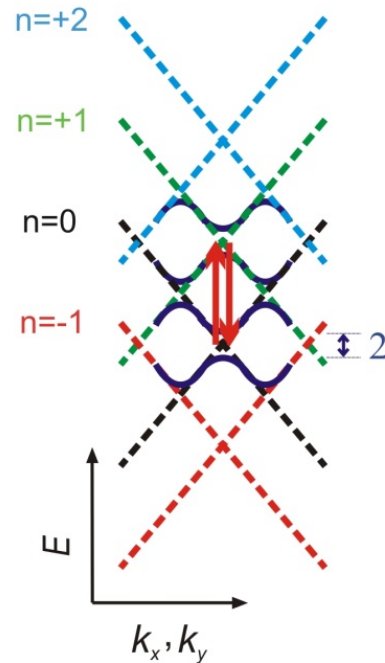
Exp.: $2\kappa = 53 \text{ meV}$
 Theory: $2\kappa = 54 \text{ meV}$

$$2\kappa = \sqrt{4V^2 + (\hbar\omega)^2} - \hbar\omega$$

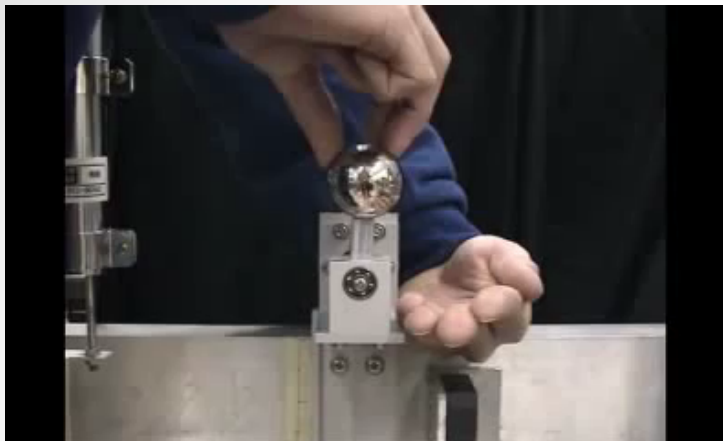
TO, Aoki '09



(F)



ex. 1: Kapitza's inverted pendulum



$$H(t) = \frac{p_\theta^2}{2m} - (g_0 + g_1 \cos(\Omega t)) \cos(\theta)$$

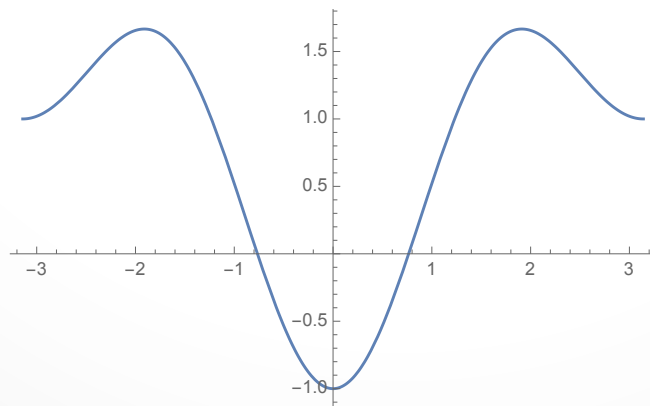
$$H_{\text{eff}} = H_0 + \frac{1}{2} \sum_{m \neq 0} \frac{[H_{-m}, H_m]}{m\Omega} + \frac{1}{3} \sum_{m,n \neq 0} \frac{[H_{-m}, [H_{m-n}, H_n]]}{nm\Omega^2} + \frac{1}{2} \sum_{m,n \neq 0} \frac{[H_m, [H_0, H_{-m}]]}{m^2\Omega^2} + \dots$$

2nd order



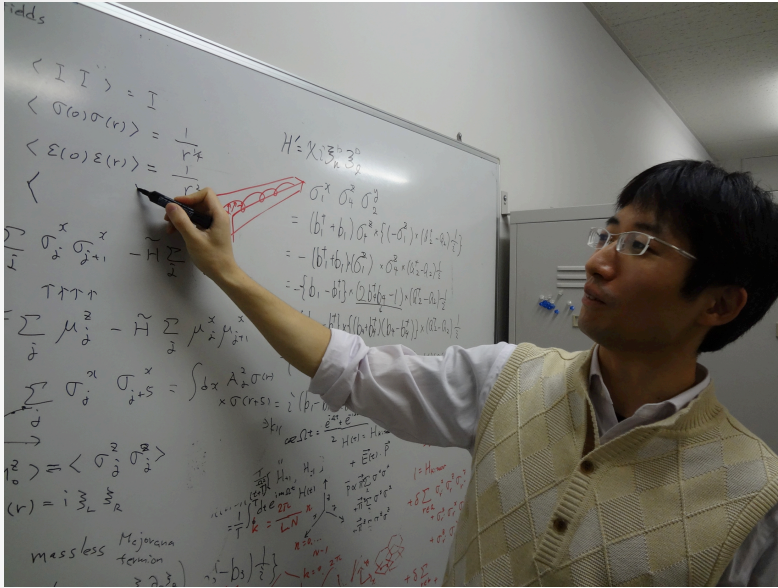
$$[\cos \theta, [\cos \theta, p_\theta^2]] \propto \sin^2 \theta$$

$$V(\theta) = -\cos \theta + \alpha \sin^2 \theta$$



extra minima
in the effective potential

Application to quantum magnets



M. Sato (Aoyama Gakuin U.
→Japan Atomic Energy Agency)



S. Takayoshi
(U-Tokyo→NIMS→U-Tokyo→U-Geneva)

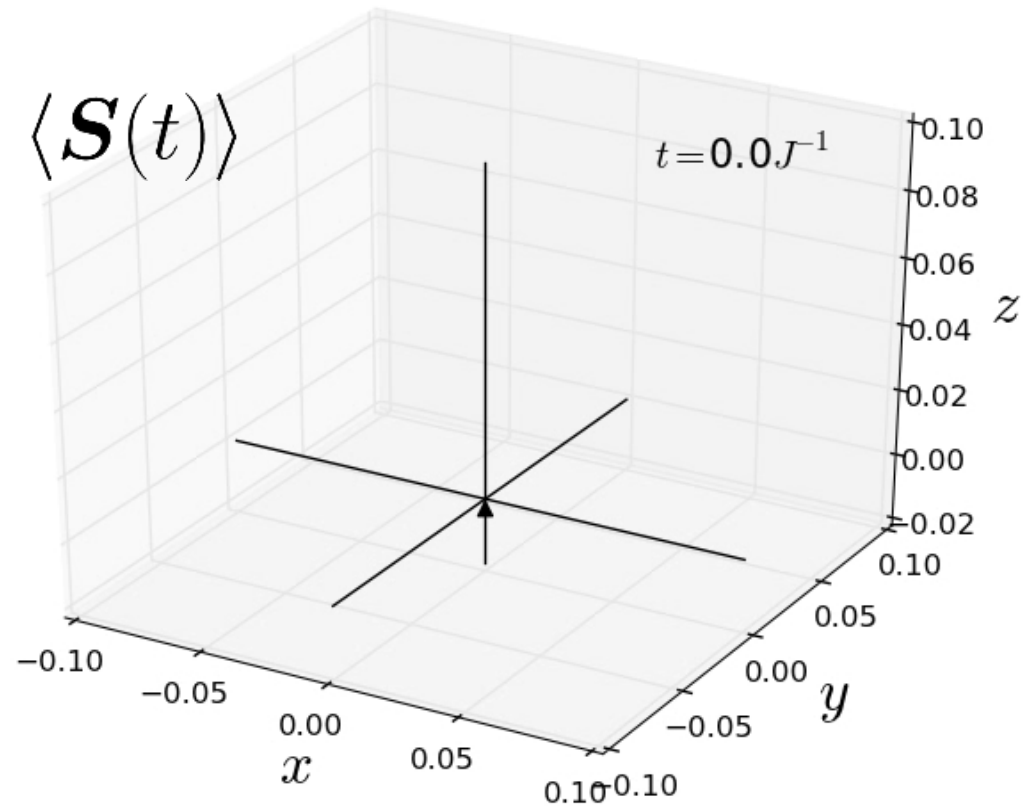
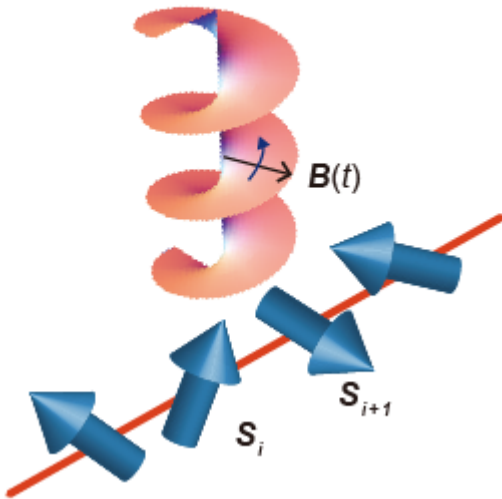
ex. 2: Magnets in rotating magnetic fields

S=1 anisotropic Heisenberg model in rotating magnetic fields

$$H(t) = \sum_i \left(J \mathbf{S}_i \cdot \mathbf{S}_{i+1} + D(S_i)^2 + \mathbf{B}(t) \mathbf{S}_i \right)$$

Laser induced magnetization

$$\mathbf{B}(t) = (B \cos \Omega t, B \sin \Omega t)$$



THz Laser induced magnetization

S=1 anisotropic Heisenberg model in rotating magnetic fields

$$H(t) = \sum_i \left(J \mathbf{S}_i \cdot \mathbf{S}_{i+1} + D(S_i)^2 + \boxed{\mathbf{B}(t) \mathbf{S}_i} \right)$$

rotating magnetic field

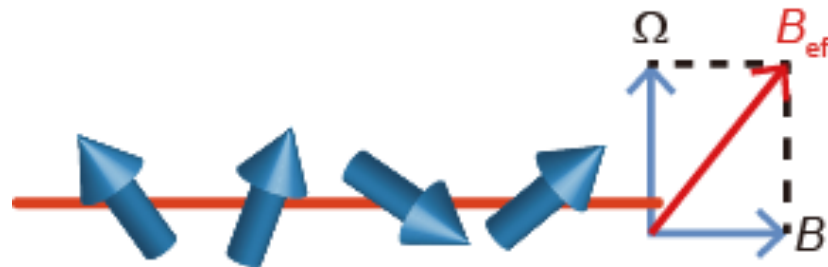
$$\mathbf{B}(t) = (B \cos \Omega t, B \sin \Omega t)$$

Choose the best frame

$$U(t) = e^{i\Omega t S_{\text{tot}}^z}$$

$$\mathcal{H}_{\text{eff}} = J \sum_{i,j} \mathbf{S}_i \cdot \mathbf{S}_j + D \sum_i (S_i^z)^2 \boxed{-\Omega S_{\text{tot}}^z - B S_{\text{tot}}^x}$$

“effective magnetic field”



spin model with slanted magnetic fields

How large can the effective field be?

$$\mathcal{H}_{\text{eff}} = J \sum_{i,j} \mathbf{S}_i \cdot \mathbf{S}_j + D \sum_i (S_i^z)^2 \boxed{-\Omega S_{\text{tot}}^z - B S_{\text{tot}}^x}$$

“effective magnetic field”

photon energy

$$\Omega = 1 \text{ THz}$$

$$\Omega = 10 \text{ THz}$$

effective field

50 Tesla

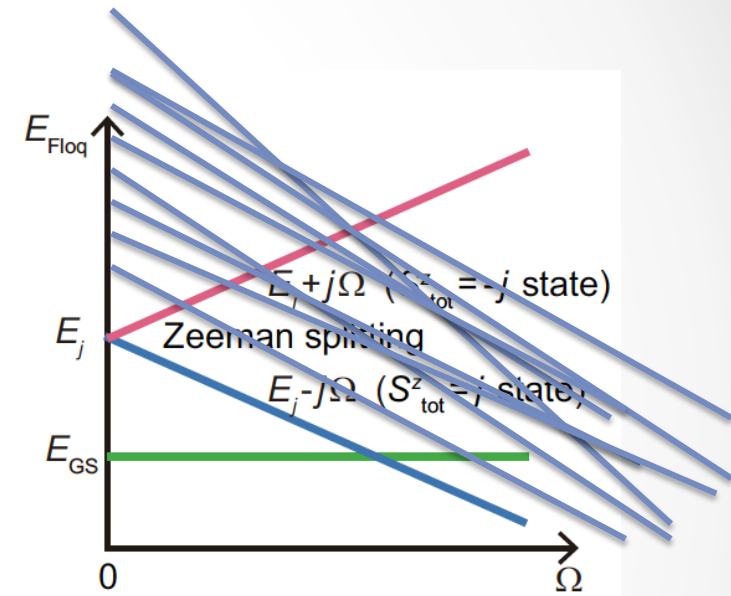
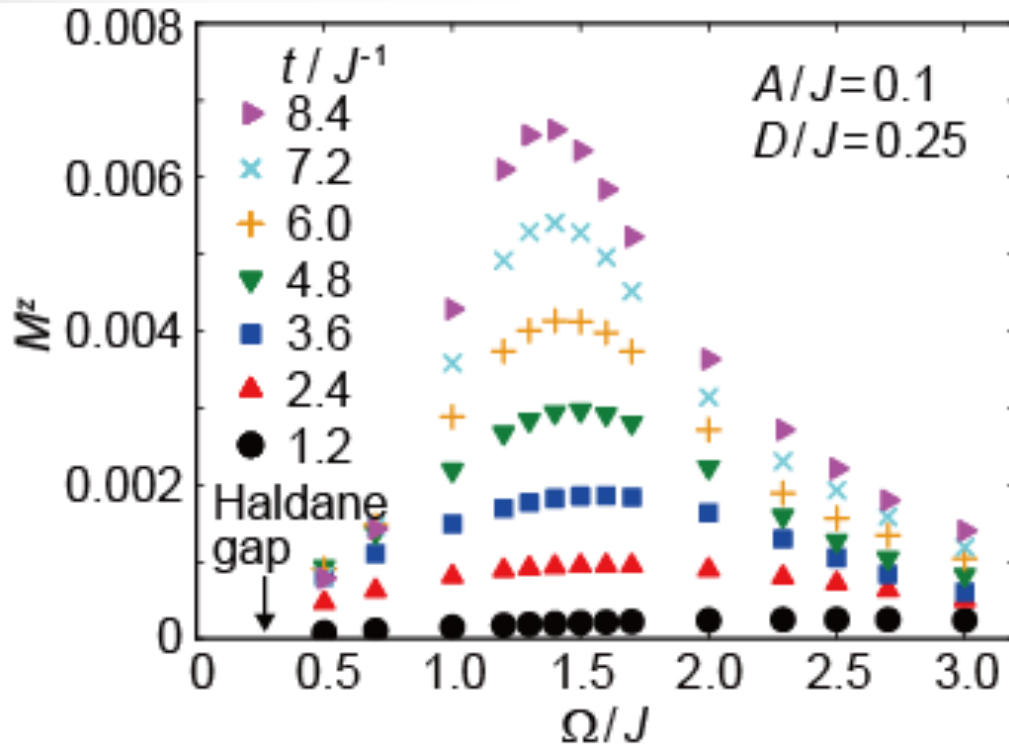
500 Tesla

assuming $J=2\text{meV}$
NDMAP

Is larger Ω always better??

Resonance ... [Takayoshi, Aoki, TO, PRB2014](#)

Chirping ... [Takayoshi, Sato, TO, PRB2014](#)

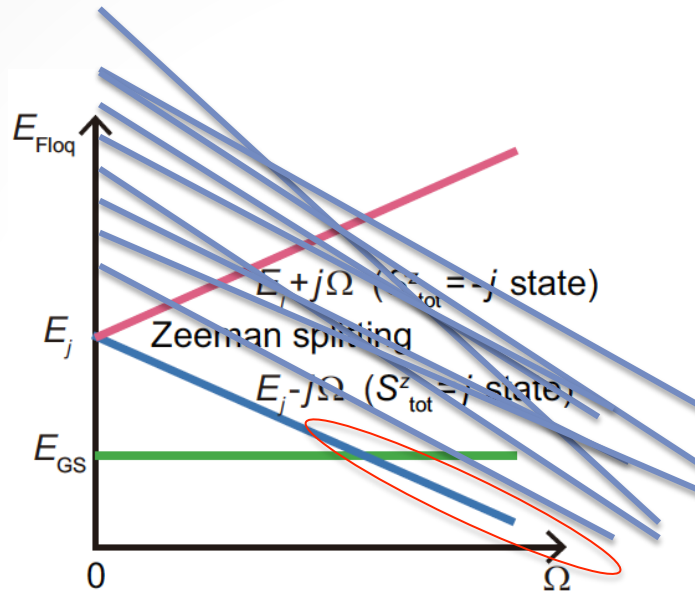


Resonant-like behavior at $\Omega=1.4J$ ($\sim 4\text{meV}=1\text{THz}$),
 (above the Haldane gap)

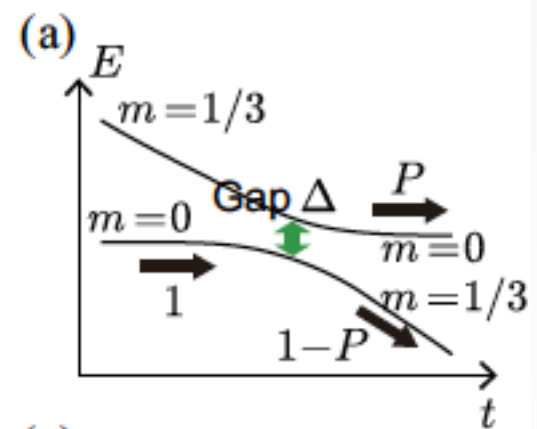
\sim peak of the “magnetic density of states”

Laser induced Magnetization curve by Chirping

Takayoshi, Sato, TO, PRB2014

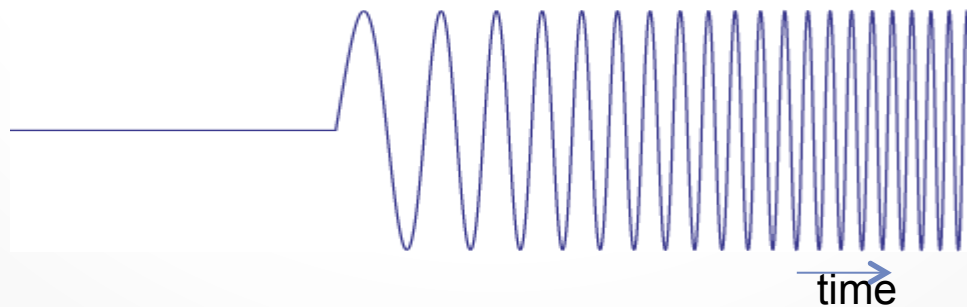


adiabatically follow the “groundstate”



increase Ω slowly

chirped laser

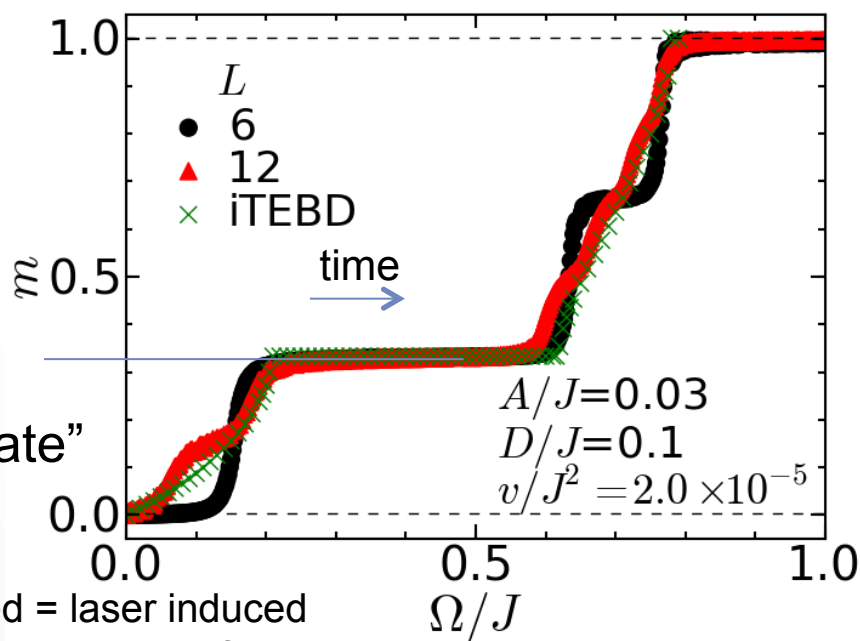


Laser induced Magnetization curve by Chirping

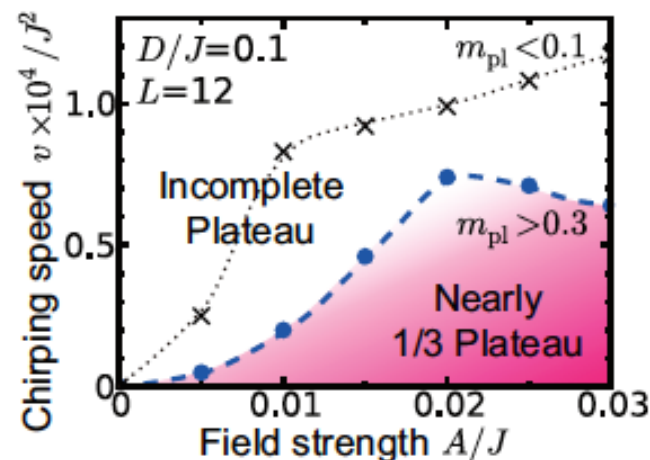
Takayoshi, Sato, TO, PRB2014
cf) next talk

increase Ω slowly = increase B_{eff} slowly

$$H_0 = \sum_j (-J_F \mathbf{B}_{3j-2} \cdot \mathbf{S}_{3j-1} - J_F \mathbf{B}_{3j-1} \cdot \mathbf{S}_{3j} + J_{AF} \mathbf{B}_{3j} \cdot \mathbf{S}_{3j+1})$$



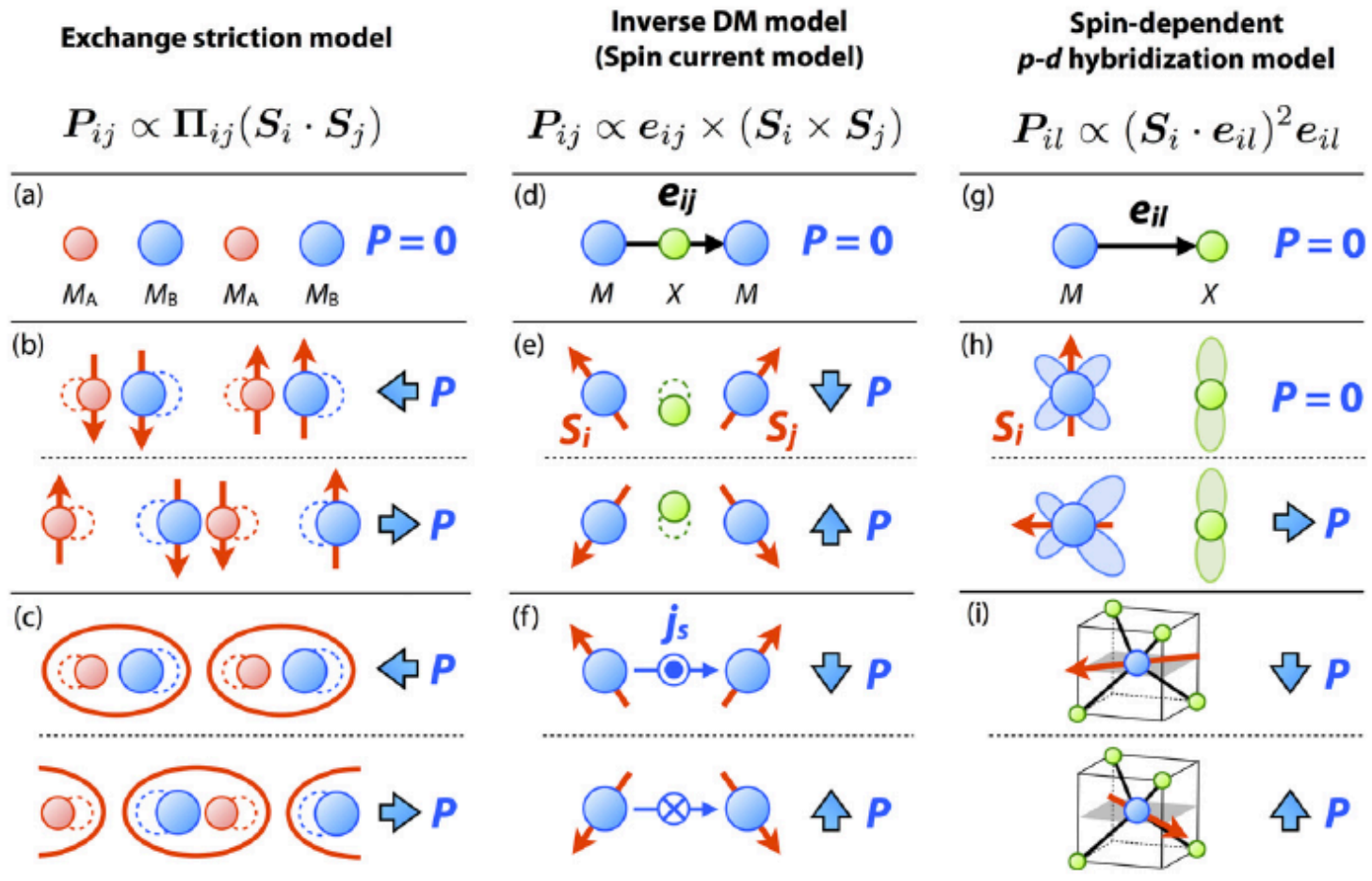
black, red = laser induced
green = static B field



Another light matter coupling for quantum magnets

$$\mathbf{E}(t)\mathbf{P} \quad \text{coupling with polarization}$$

This originates from spin-orbit interaction and is relevant in multiferroics (magnetisation+ferroelectricity)



Laser induced effective terms in multiferroic quantum magnets

Sato, Sasaki, TO, arXiv'15
Sato, Takayoshi, TO, *in prep.*

circularly polarized laser

$$\mathbf{E} = E_0(\cos \Omega t, \sin \Omega t), \quad \mathbf{B} = B_0(\sin \Omega t, \cos \Omega t)$$

$$H(t) = H_0 + \mathbf{B}(t)\mathbf{S} + \mathbf{E}(t)\mathbf{P}$$

Fourier transform

$$H_1 = E_0(P_x - iP_y)/2 + B_0(S_y - iS_x)/2$$

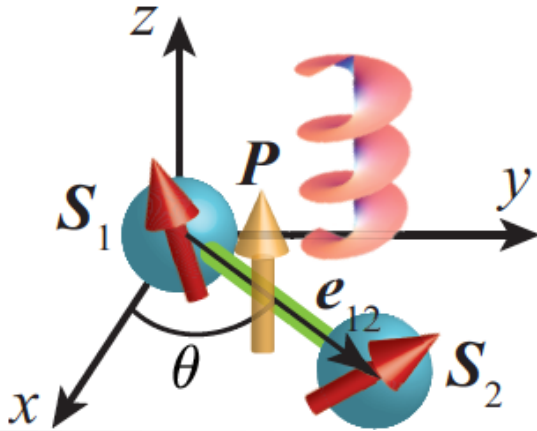
$$H_{-1} = E_0(P_x + iP_y)/2 + B_0(S_y + iS_x)/2$$

1st order effective model

$$H_{\text{eff}} = H_0 + \frac{[H_{-1}, H_1]}{\Omega} + \mathcal{O}(A^4)$$

effective terms

$$H_{\Omega} = \frac{E_0 E_0}{\Omega} (3 \text{ spin term}) + \frac{E_0 B_0}{\Omega} (2 \text{ spin term}) + \frac{B_0 B_0}{\Omega} (1 \text{ spin term})$$



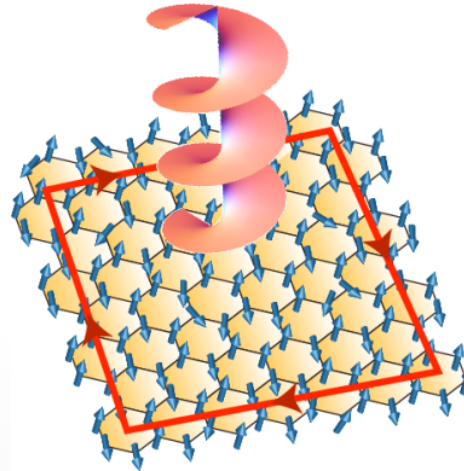
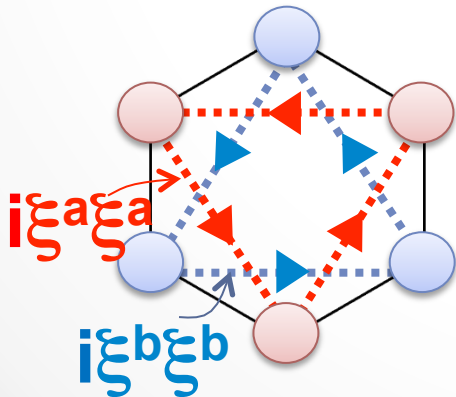
3-spin terms: Example in Kitaev's honeycomb lattice

Details in [Sato, Sasaki, TO, arXiv'15](#)

$$\vec{P}_{\text{tot}} = \sum_{\alpha} \sum_{\langle \vec{r}, \vec{r}' \rangle_{\alpha}} \vec{P}_{(\vec{r}, \vec{r}')_{\alpha}} \quad \vec{P}_{(\vec{r}, \vec{r}')_{\alpha}} = \vec{\pi}_{\alpha} \sigma_{\vec{r}}^{\alpha} \sigma_{\vec{r}'}^{\alpha}.$$

$$\begin{aligned} \hat{\mathcal{H}}_{\Omega} = & \pm \frac{1}{\Omega} E^2 \cos \delta \left[G_{12} \left(\sum_{\vec{r} \in A} \sigma_{\vec{r}_1}^x \sigma_{\vec{r}}^z \sigma_{\vec{r}_2}^y + \sum_{\vec{r} \in B} \sigma_{\vec{r}_1}^x \sigma_{\vec{r}}^z \sigma_{\vec{r}_2}^y \right) \right. \\ & + G_{23} \left(\sum_{\vec{r} \in A} \sigma_{\vec{r}_2}^y \sigma_{\vec{r}}^x \sigma_{\vec{r}_3}^z + \sum_{\vec{r} \in B} \sigma_{\vec{r}_2}^y \sigma_{\vec{r}}^x \sigma_{\vec{r}_3}^z \right) \\ & \left. + G_{31} \left(\sum_{\vec{r} \in A} \sigma_{\vec{r}_3}^z \sigma_{\vec{r}}^y \sigma_{\vec{r}_1}^x + \sum_{\vec{r} \in B} \sigma_{\vec{r}_3}^z \sigma_{\vec{r}}^y \sigma_{\vec{r}_1}^x \right) \right] \quad G_{\alpha\beta} = \hat{z} \cdot (\vec{\pi}_{\alpha} \times \vec{\pi}_{\beta}) \end{aligned}$$

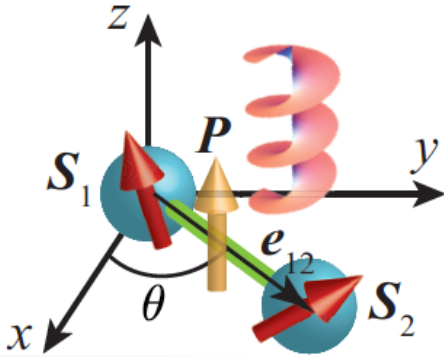
After Jordan-Wigner transformation, the effective Majorana fermion model becomes a quantum Hall model (topological Chern insulator)



Gapped topological spin liquid with Majorana edge mode and nonabelian anyon excitation

2-spin terms: Laser controlled Dzyaloshinskii-Moriya coupling

Sato, Takayoshi, TO, *in prep.*



Assume “inverse DM coupling” in a 1D chain

$$\mathbf{P}_{\text{tot}} = g_{\text{me}} \sum_j \mathbf{e}_{j,j+1} \times \mathbf{v}_{j,j+1}$$

$$\mathbf{v}_{j,j+1} \equiv \mathbf{S}_j \times \mathbf{S}_{j+1} \quad \text{in the chain direction}$$

↓ high frequency circularly polarized laser

$$H_{\Omega} = \frac{E_0 E_0}{\Omega} (\text{3 spin term}) + \frac{E_0 B_0}{\Omega} (\text{2 spin term}) + \frac{B_0 B_0}{\Omega} (\text{1 spin term})$$

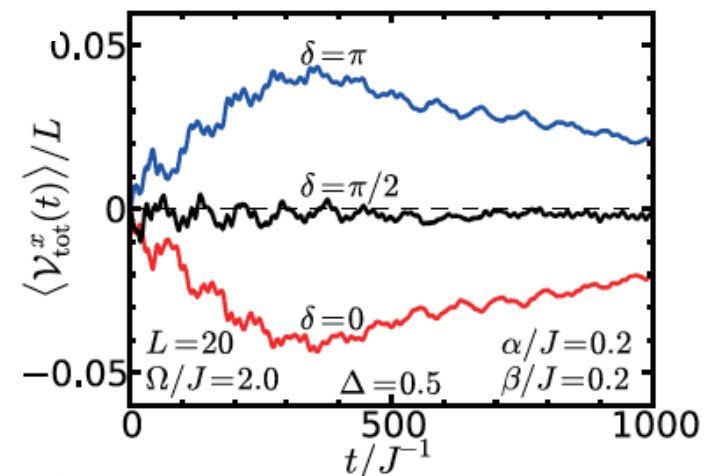
$$= \pm \sum_j \frac{\alpha\beta}{2\Omega} v_{j,j+1}^x \pm \sum_j \frac{\beta^2}{2\Omega} S_j^z$$

$$\alpha = E_0 g_{\text{me}} / 2$$

$$\beta = g\mu_B E_0 c^{-1}$$

= “Laser controlled DM coupling”
+ effective Zeeman term

Numerical test in 1D XXZ chain (L=20)



Conclusion

Floquet theory

1. Choose the best frame
2. Fourier transform $H(t) = \sum_m H_m e^{-im\Omega t}$
3. Effective Hamiltonian

$$H_{\text{eff}} = H_0 + \frac{[H_{-1}, H_1]}{\Omega} + \mathcal{O}(A^4)$$

Application to quantum magnets

1. Huge effective magnetic field

$$\mathcal{H}_{\text{eff}} = J \sum_{i,j} \mathbf{S}_i \cdot \mathbf{S}_j + D \sum_i (S_i^z)^2 - \Omega S_{\text{tot}}^z - B S_{\text{tot}}^x$$

2. Laser induced 3-spin and DM terms

$$H_{\Omega} = \frac{E_0 E_0}{\Omega} (3 \text{ spin term}) + \frac{E_0 B_0}{\Omega} (2 \text{ spin term}) + \frac{B_0 B_0}{\Omega} (1 \text{ spin term})$$

