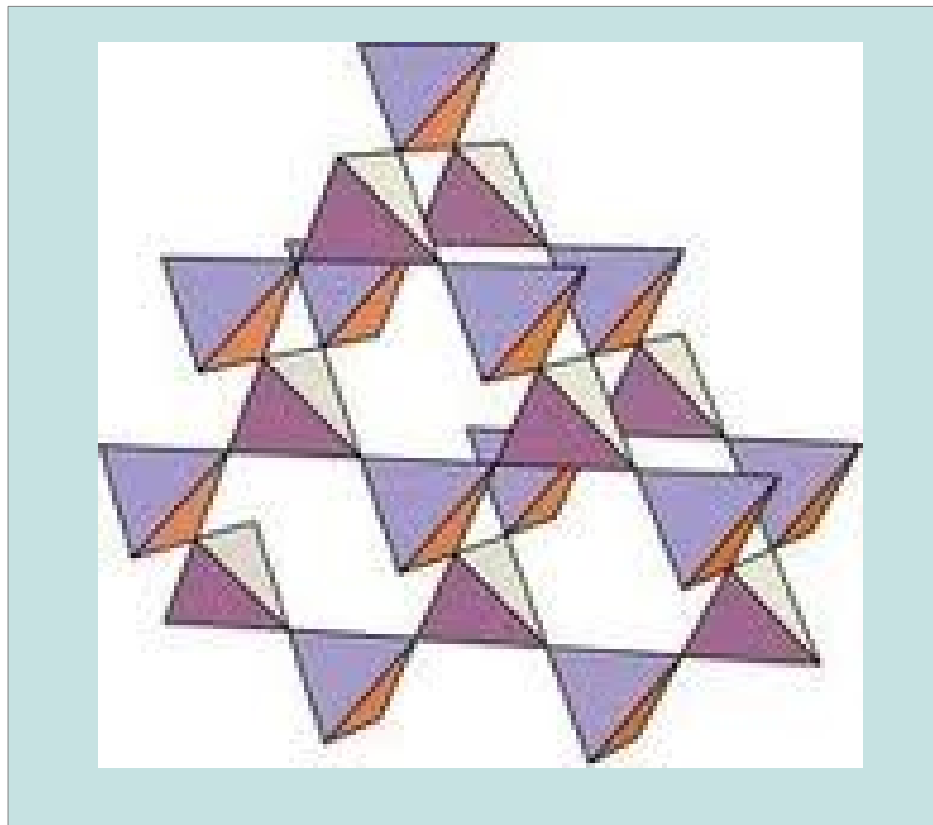




## A fight between crystal fields and quantum fluctuations

Amy Briffa, R.J. Mason, M.W. Long



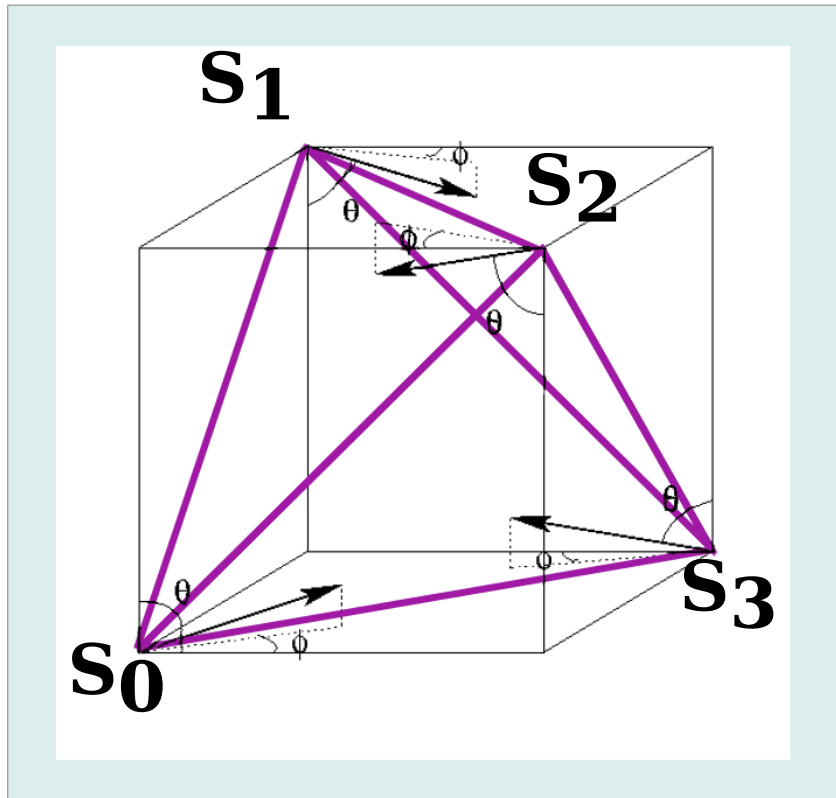
Pyrochlore lattice

Image by Balents group

### Overview

- (1) Introduction to frustration in rare-earth pyrochlores
- (2) Long-range ordering and the gapless spin-wave mode
- (3) Semi-classical spin-wave model via a minimal pseudo-spin projection

# (1) Frustration on the pyrochlore lattice



Heisenberg model

$$H = \frac{1}{2} \sum_{jj'} J_{jj'} \mathbf{S}_j \cdot \mathbf{S}_{j'}$$

$$= 2J_0 \sum_t \mathbf{T} \cdot \mathbf{T}_t$$

$$\mathbf{T} = \frac{1}{2} [\mathbf{S}_0 + \mathbf{S}_1 + \mathbf{S}_2 + \mathbf{S}_3]$$

Alternatively consider degeneracy in reciprocal space...

Block transforming to k-space gives

$$H = \frac{1}{2} \sum_{jj'} J_{jj'} \mathbf{S}_j \cdot \mathbf{S}_{j'} = \sum_{\mathbf{k}} \mathbf{S}_{\mathbf{k}}^* \cdot \mathbf{S}_{\mathbf{k}} J(\mathbf{k})$$

Ground state minimises Structure factor:

But n.n. Heisenberg  $J(\mathbf{k})$  on a pyrochlore lattice  
has **huge degeneracy**

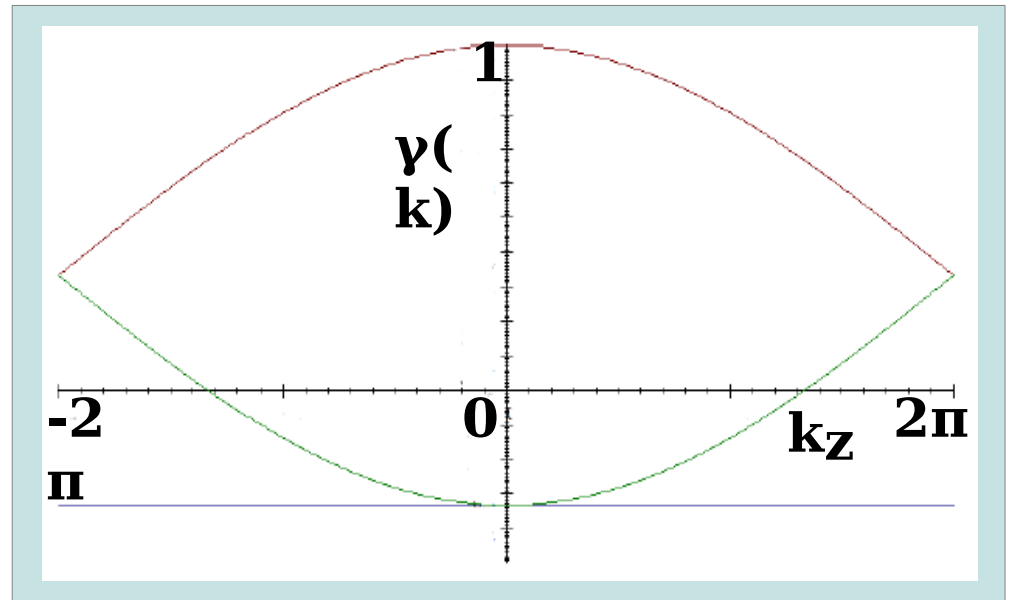
$$J(\mathbf{k}) = \sum_s J_s Z_s \gamma_s(\mathbf{k}) \quad \gamma_s(\mathbf{k}) = \frac{1}{Z_s} \sum_{\langle 0n \rangle_s} e^{i\mathbf{k} \cdot \mathbf{R}_n}$$

Two flat bands

Corresponds to  
half of k-points

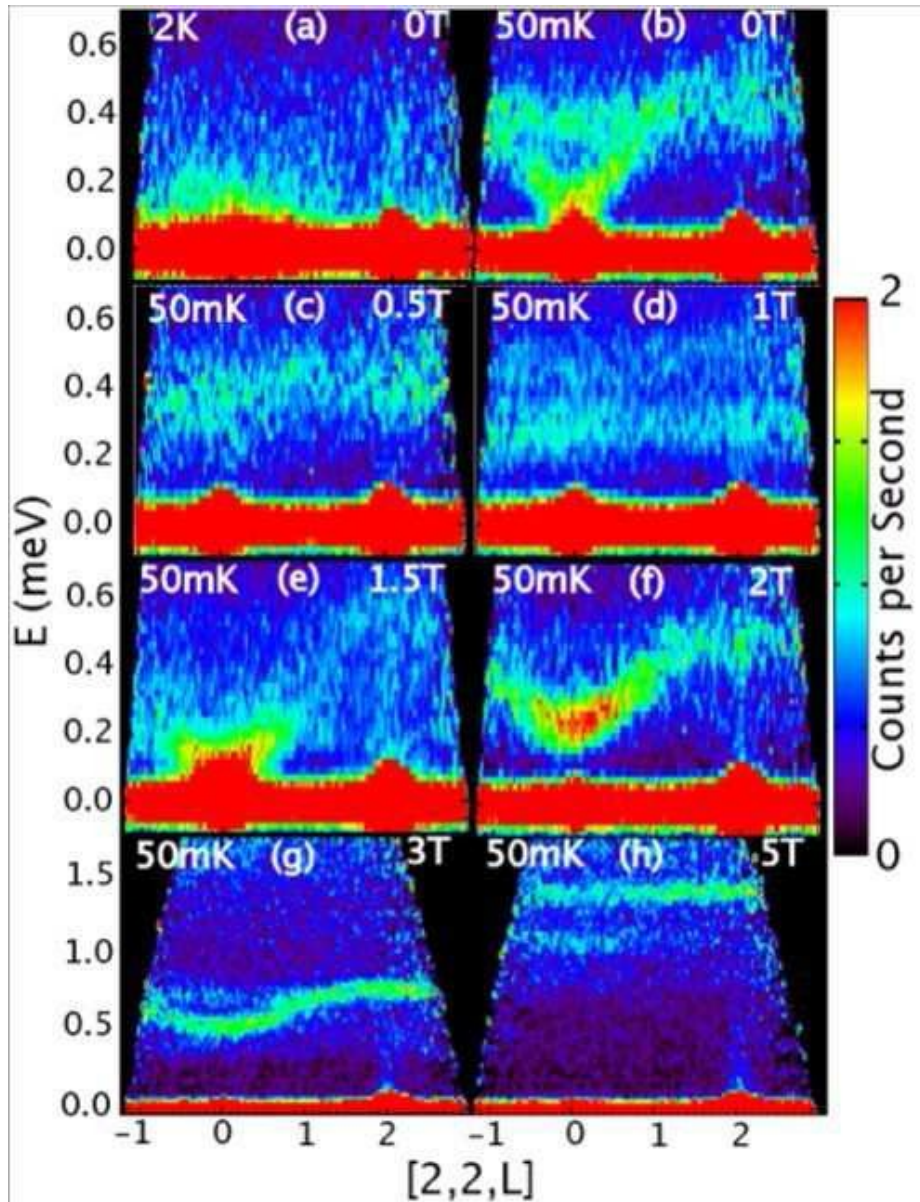
Degeneracy lifted by:  
Crystal Field  
Dipole interactions

Further neighbour Heisenberg



Plotted for  $k_x = k_y = 0$

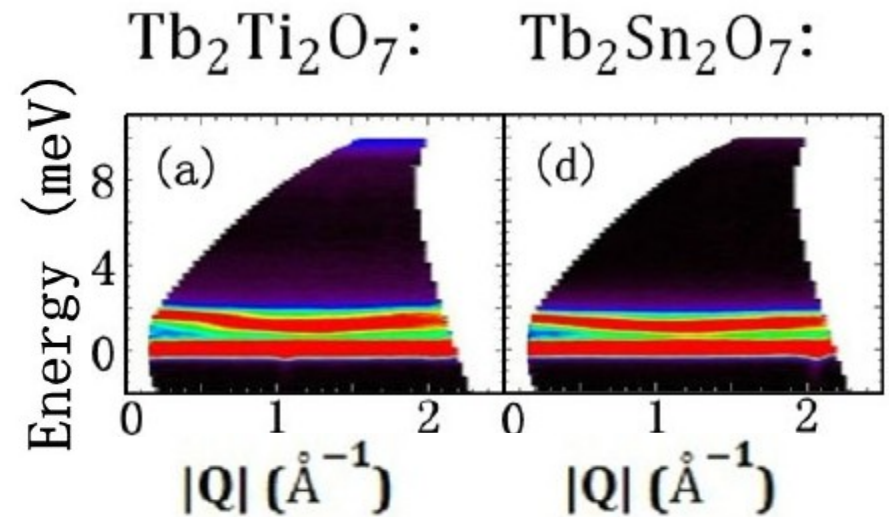
# Why study Erbium Titanate? (Nearly) gapless spin-wave mode



JPC Ruff; PRL **101**, 147205 (2008)

Gap  $< 0.05$  meV  
KA Ross; PRL **112**, 057201 (2014)

Compare to terbium pyrochlores  
Gap  $\sim 1$  meV



J Zhang; PRB **89**, 134410 (2014)

## (2) Long-range magnetic order

### Chemistry

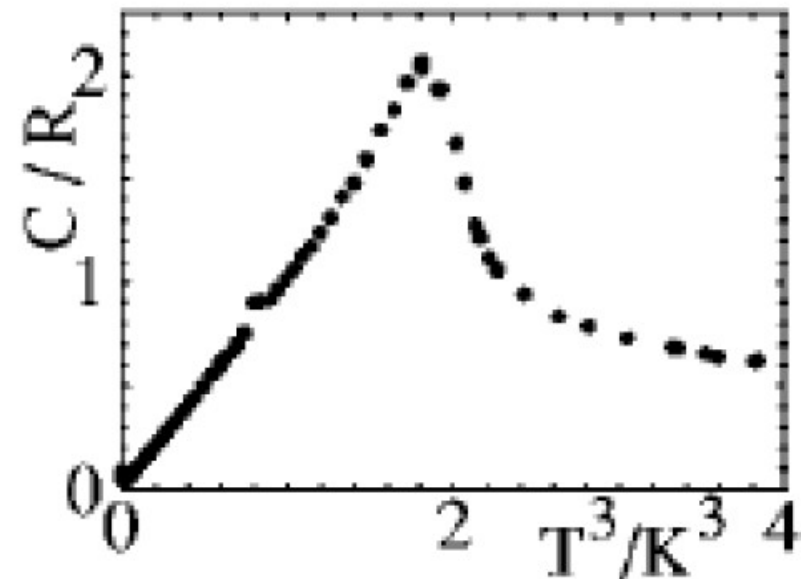
Ti<sup>4+</sup> and O<sup>2+</sup>: closed shell so zero magnetic moment

Er<sup>3+</sup>: [Xe]4f<sup>11</sup> → model as three holes using Hund's rules  
S = 3/2    L = 6    J=15/2    16 degenerate J<sub>Z</sub> states

Curie-Weiss  $\Theta_C \sim -20\text{K}$  →  
frustrated AFM:  $T_N = 1.3\text{K}$

Specific heat linear below  $T_N$   
signature of gapless spin-wave

Entropy from transition  $\sim \ln 2$  →  
pseudo-spin  $\frac{1}{2}$  low energy effective  
model



JDM Champion; PRB **68** 020401 (2003)

Elastic powder neutron scattering find  $\mathbf{k}=\mathbf{0}$  order with a reduced moment of about 1/3 of theoretical maximum value for Er<sup>3+</sup>

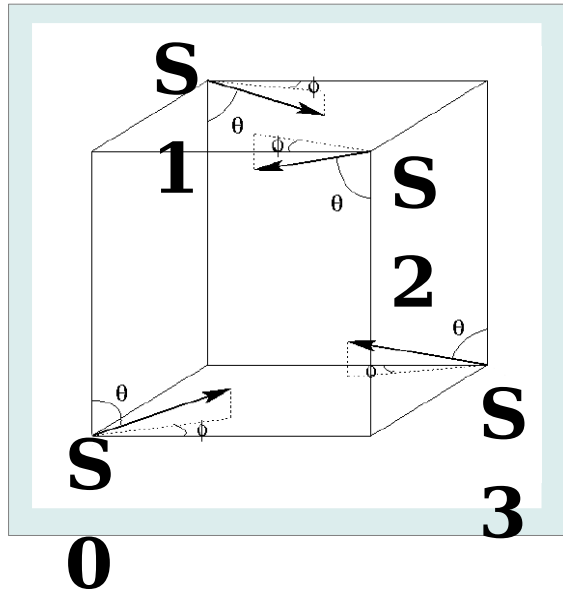
# Elastic neutron scattering for pyrochlores

Bragg spot intensity

$$I(\mathbf{k}, \mathbf{S}_{\mathbf{k}}) \sim |\mathbf{f}(\mathbf{k})|^2 \left[ 1 - |\hat{\mathbf{k}} \cdot \hat{\mathbf{S}}_{\mathbf{k}}|^2 \right] |\mathbf{S}_{\mathbf{k}}|^2$$

Structure factor

$$\mathbf{S}_{\mathbf{k}} = \frac{1}{N_0} \sum_{\alpha} e^{i\mathbf{k} \cdot (\mathbf{c}_{\alpha})} \mathbf{S}_{j\alpha}$$



$$\begin{aligned} \mathbf{c}_0 &= \langle 000 \rangle \\ \mathbf{c}_1 &= \frac{a}{4} \langle 011 \rangle \\ \mathbf{c}_2 &= \frac{a}{4} \langle 101 \rangle \\ \mathbf{c}_3 &= \frac{a}{4} \langle 110 \rangle \end{aligned}$$

For  $\mathbf{k}=\mathbf{0}$ , structure factors are a linear combination of only four spins, eg.

$$\begin{aligned} \mathbf{S}_{\mathbf{Q}_0} &= \frac{1}{4} (\mathbf{S}_0 + \mathbf{S}_1 + \mathbf{S}_2 + \mathbf{S}_3) \\ \mathbf{S}_{\mathbf{K}_0} &= \frac{1}{4} (\mathbf{S}_0 - \mathbf{S}_1 - \mathbf{S}_2 - \mathbf{S}_3) \end{aligned}$$

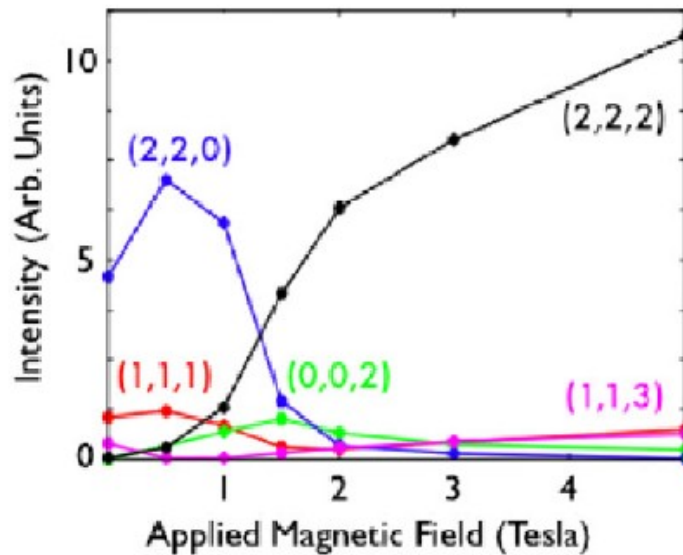
$$\begin{aligned} \mathbf{Q}_0 &= (000), & \mathbf{Q}_1 &= (200), & \mathbf{Q}_2 &= (020), & \mathbf{Q}_3 &= (002), \\ \mathbf{K}_0 &= (111), & \mathbf{K}_1 &= (1\bar{1}\bar{1}), & \mathbf{K}_2 &= (\bar{1}1\bar{1}), & \mathbf{K}_3 &= (\bar{1}\bar{1}1). \end{aligned}$$

The  $\mathbf{k}$ -points of the eight different structure factors

Structure factors not independent for pyrochlores

$$\begin{aligned} \mathbf{S}_{\mathbf{K}_0} &= \frac{1}{2} (-\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}), & \mathbf{S}_{\mathbf{K}_1} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} - \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}) \\ \mathbf{S}_{\mathbf{K}_2} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} - \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}), & \mathbf{S}_{\mathbf{K}_3} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} - \mathbf{S}_{\mathbf{Q}_3}) \end{aligned}$$

# Single Crystal Data in a $\langle 110 \rangle$ magnetic field



JPC Ruff; PRL **101**, 147205 (2008)

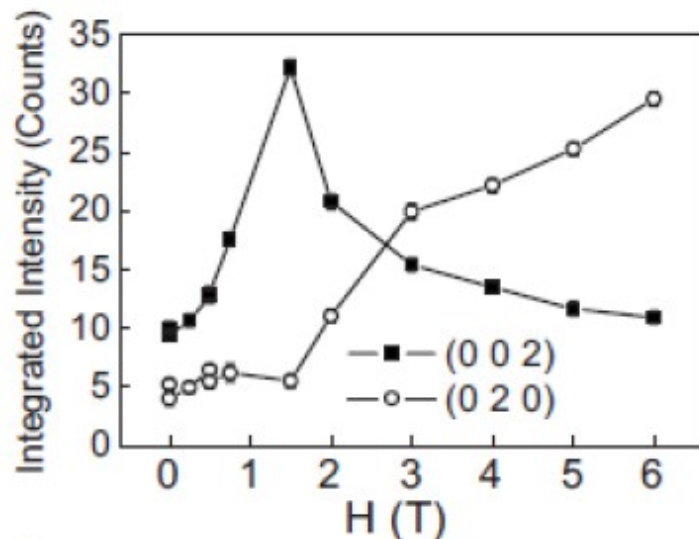
(222) spot absent: no ferromagnetism

$\bar{(222)}$  is a reciprocal lattice vector, so (002) and (220) share the same structure factor

(002) absent but (220) dominant: consider orientational factor:

$$\left[ 1 - \left| \hat{\mathbf{k}} \cdot \hat{\mathbf{S}}_{\mathbf{k}} \right|^2 \right]$$

$$\mathbf{S}_{(200)} = S_x \hat{\mathbf{x}} \quad \mathbf{S}_{(020)} = S_y \hat{\mathbf{y}} \quad \mathbf{S}_{(002)} = S_z \hat{\mathbf{z}}$$



HB Cao PRB **82** 104431 (2010)

And invert back to real-space

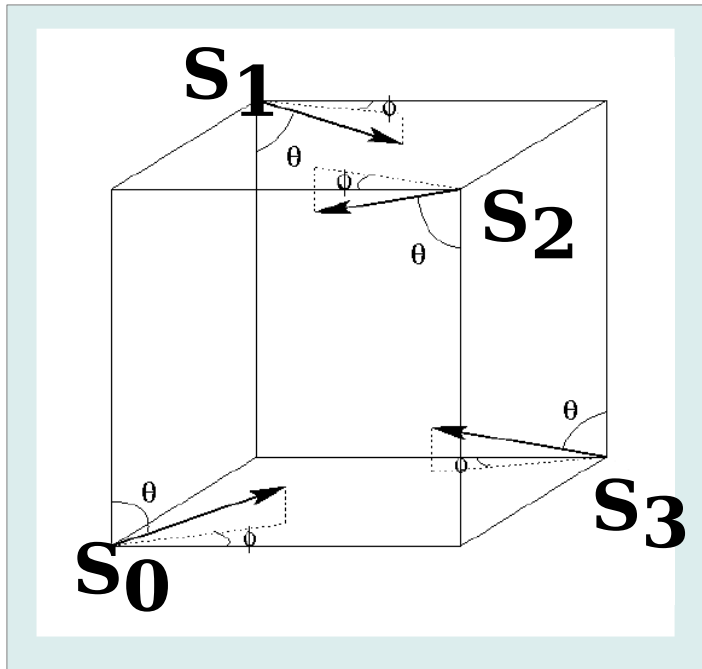
$$\mathbf{S}_0 = S_x \hat{\mathbf{x}} + S_y \hat{\mathbf{y}} + S_z \hat{\mathbf{z}},$$

$$\mathbf{S}_1 = S_x \hat{\mathbf{x}} - S_y \hat{\mathbf{y}} - S_z \hat{\mathbf{z}},$$

$$\mathbf{S}_2 = -S_x \hat{\mathbf{x}} + S_y \hat{\mathbf{y}} - S_z \hat{\mathbf{z}}$$

$$\mathbf{S}_3 = -S_x \hat{\mathbf{x}} - S_y \hat{\mathbf{y}} + S_z \hat{\mathbf{z}}$$

We have determined the relative spin orientations:

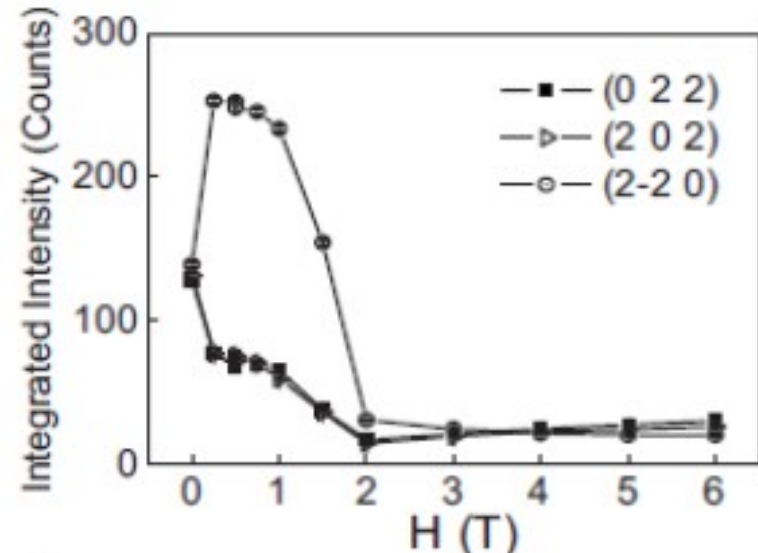


Two remaining degrees of freedom  
View as orientation of  $\mathbf{S}_0$   
i.e. the angles  $\theta$  and  $\varphi$

Next (022), (202) and (220) peaks  
All have maximal orientational factor  
All have an equal form factor

$$\mathbf{S}_{(022)} = S_x \hat{x} \quad \mathbf{S}_{(202)} = S_y \hat{y} \quad \mathbf{S}_{(220)} = S_z \hat{z}$$

Magnetic field selects z-direction as special: biases domain population



HB Cao PRB **82** 104431 (2010)

$$I((002)) = I((202)) \rightarrow S_x = S_y > 0$$

$$\sin^2 \theta (\cos^2 \phi - \sin^2 \phi) = 0 \quad \phi = \pm \frac{\pi}{4}, \pm \frac{3\pi}{4}$$

$$\frac{I((2, -2, 0))}{I((0, 2, 2))} = 2 \cot^2 \theta \approx \frac{260}{80}$$

$$\theta \approx \pm 0.2\pi, \pm 1.2\pi$$

$$\mathbf{S}_0 \approx \frac{1}{\sqrt{6}} \langle \pm 1, \pm 1, \pm 2 \rangle$$



# Finally there is still the (111) Bragg spot to consider

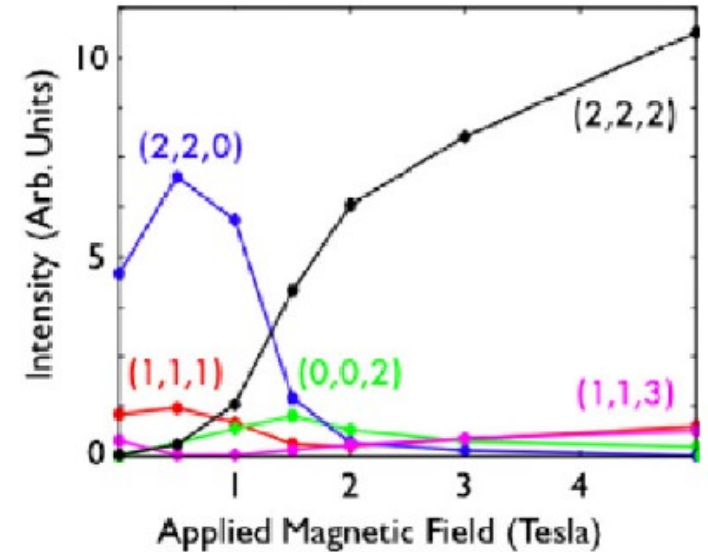
Structure factors are not independent  
Which leads to

$$\mathbf{S}(000) = \mathbf{0} \rightarrow \mathbf{S}(111) = \mathbf{S}_0/2 \neq \mathbf{0}$$

So consider orientational factor

$I((111))$  is weak so

$\mathbf{S}_0$  must point close to  $\langle 111 \rangle$  direction.



Single crystal data by Ruff *et al.* shows

$$\frac{I((1, 1, 1))}{I((2, 2, 0))} \sim \frac{1}{5}$$

Consider the three (non-symmetrically related) possibilities for  $\mathbf{S}_0$

(We use a very crude estimate for the form factor)

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, -2 \rangle$$

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle -1, 1, 2 \rangle$$

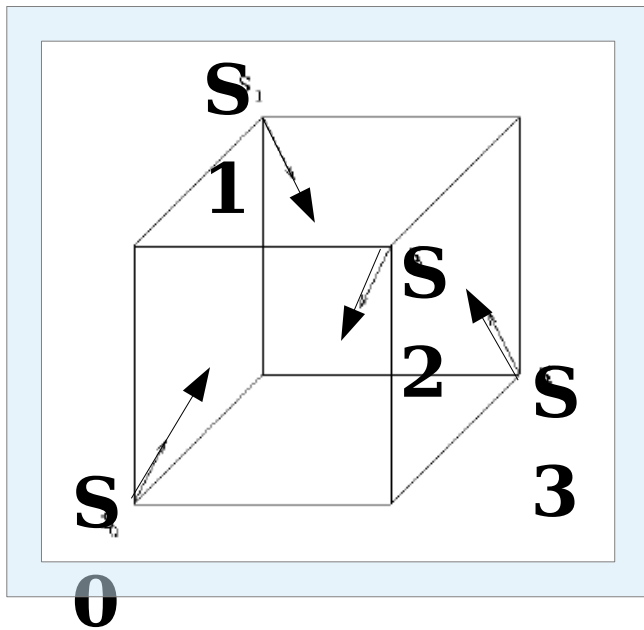
$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle.$$

$$\frac{I((1, 1, 1))}{I((2, 2, 0))} \sim \frac{9}{8}$$

$$\frac{I((2, 2, 0))}{I((1, 1, 1))} \sim \frac{8}{7}$$

$$\frac{I((2, 2, 0))}{I((1, 1, 1))} \sim 8$$

# Physical Interpretation of ordered state and gapless spin-wave



Domain selected by  $\langle 110 \rangle$  field

$$S_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle, \quad S_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -2 \rangle$$

$$S_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -2 \rangle, \quad S_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 2 \rangle$$

Can re-write as 'triple-q' state plus co-linear AFM along z-direction

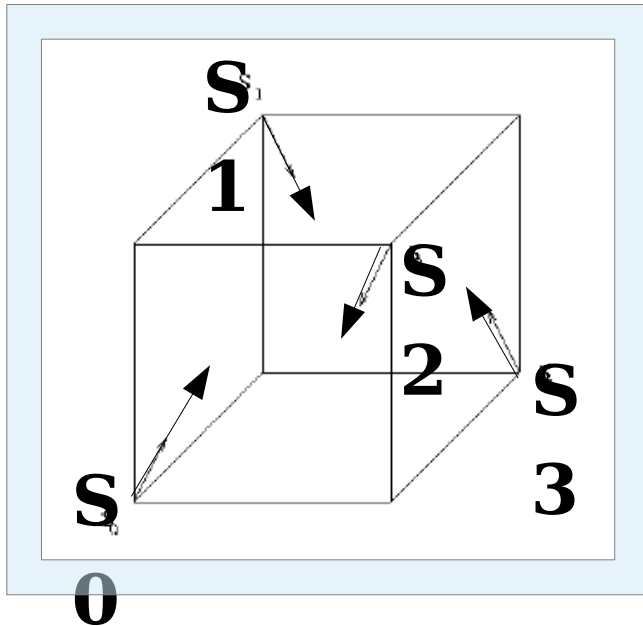
$$S_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, 1 \rangle, \quad S_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, -1 \rangle,$$

$$S_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, -1 \rangle, \quad S_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, 1 \rangle$$

$\langle 112 \rangle$  is not a natural crystallographic direction. Crystal field interaction must be frustrated via a competition with other interactions.

How can this be understood energetically?

# Physical Interpretation of ordered state and gapless spin-wave



Domain selected by  $\langle 110 \rangle$  field

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle, \quad \mathbf{S}_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -2 \rangle$$

$$\mathbf{S}_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -2 \rangle, \quad \mathbf{S}_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 2 \rangle$$

Remember structure factors

$$\mathbf{S}_{(200)} = S_x \hat{\mathbf{x}} \quad \mathbf{S}_{(020)} = S_y \hat{\mathbf{y}} \quad \mathbf{S}_{(002)} = S_z \hat{\mathbf{z}}$$

Normally for rare-earth magnets: Strong crystal field interaction provides a finite energy penalty for global spin rotations.

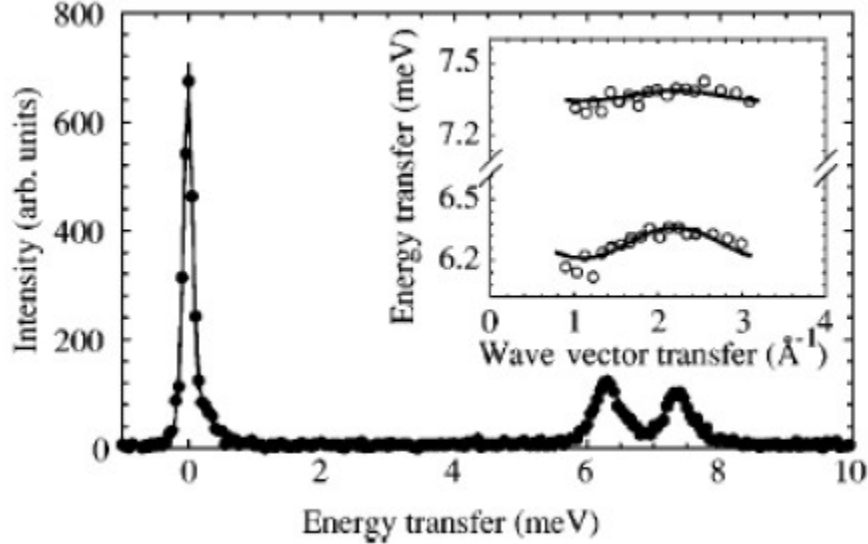
So the standard transverse spin-waves are strongly gapped.

Here spin-wave mode at (220) consistent with transferring weight between to (022); (202) and (220) Bragg peaks.

This changes  $\theta$  and  $\varphi$  of  $\mathbf{S}_0$  (with relative spin directions maintained)

# (3) Energetic modelling

Crystal field largest energy scale  
 Energy gap from ground-state doublet  
 To first excited state 70K



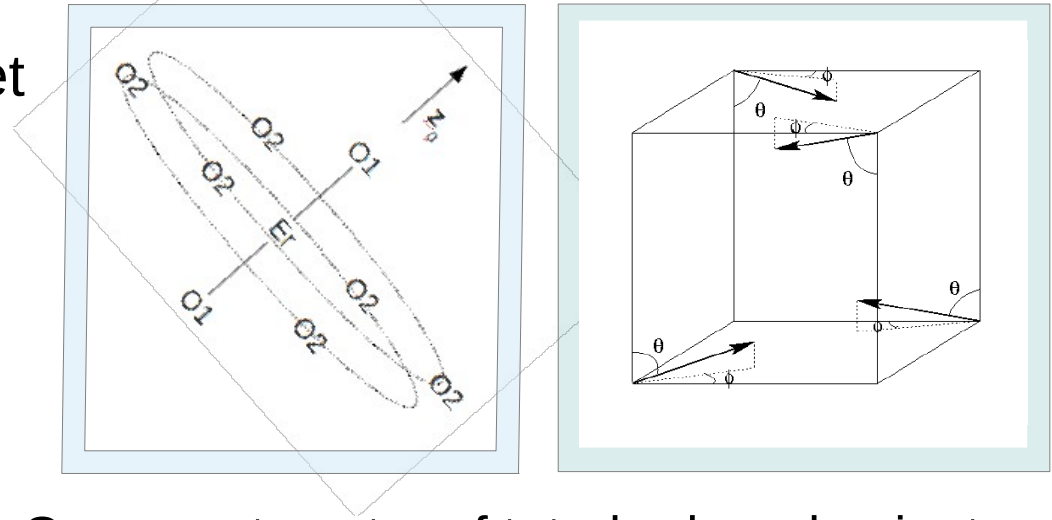
JDM Champion; PRB **68** 020401 (2003)

For one hole, use  $Y_{30}$  orbital so project onto minimal  $J_z$

$Er^{3+}$  has 3 holes so only approximate (other low  $J_z$  states will be mixed in)

Resulting pseudo-spin  $1/2$  state  
 can be orientated in any direction

$$|\psi^\pm\rangle = e^{\mp\frac{i\phi}{2}} \cos\frac{\theta}{2} \left|J, \pm\frac{1}{2}\right\rangle \pm e^{\pm\frac{i\phi}{2}} \sin\frac{\theta}{2} \left|J, \mp\frac{1}{2}\right\rangle$$



Oxygen at centre of tetrahedron dominates  
 So choose each local quantisation axis  
 to point towards the cube centre

Angles  $\theta$  and  $\phi$  determined by  
 n.n. Heisenberg and n.n Dipole  
 Both have energy scale of  $\sim 1K$

# Energetically motivated phenomenological Hamiltonians

Local dipole interaction  $H_D = J_D \left\{ \frac{7}{4}H_1 + \frac{3}{4}H_4 + \frac{9}{2}H_0 - \frac{9}{4}H_2 \right\}$

Anisotropic n.n Heisenberg as  $H_{\text{EX}} = a_{\text{EX}}H_1 - b_{\text{EX}}H_4$

Orbitally generated exchange anisotropy out of control  
 – forces us to use phenomenological coefficients.

$$H_0 = \frac{1}{2} \sum_{\alpha} \left( \hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)^2 \quad H_1 = \frac{1}{2} \hat{\mathbf{T}} \cdot \hat{\mathbf{T}} \quad H_2 = \hat{\mathbf{T}} \cdot \hat{\mathbf{z}}_{\alpha} \sum_{\alpha} \left( \hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)$$

$$H_3 = \frac{1}{8} \left( \sum_{\alpha} \hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)^2 \quad H_4 = \frac{1}{2} \hat{\mathbf{A}} \cdot \hat{\mathbf{A}}$$

$$\hat{\mathbf{T}} = \hat{\mathbf{J}}_0 + \hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2 + \hat{\mathbf{J}}_3$$

$$\hat{\mathbf{A}} = \left( \hat{J}_0^x + \hat{J}_1^x - \hat{J}_2^x - \hat{J}_2^x \right) \hat{\mathbf{x}} + \left( \hat{J}_0^y - \hat{J}_1^y + \hat{J}_2^y - \hat{J}_3^y \right) \hat{\mathbf{y}} + \left( \hat{J}_0^z - \hat{J}_1^z - \hat{J}_2^z + \hat{J}_3^z \right) \hat{\mathbf{z}}.$$

$\mathbf{Z}_{\alpha}$  describes local quantisation axis directed towards cube centre

# Pseudo-spin projection

To project onto maximal  $J_Z$  states  
[appropriate for modelling spin-ice]

$$\hat{\mathbf{J}}_\alpha \mapsto 2J \left( \hat{\mathbf{S}}_\alpha \cdot \hat{\mathbf{z}}_\alpha \right) \hat{\mathbf{z}}_\alpha$$

We choose to use minimal  $J_Z$  projection

$$\hat{\mathbf{J}}_\alpha \mapsto \left( J + \frac{1}{2} \right) \hat{\mathbf{S}}_\alpha - \left( J - \frac{1}{2} \right) \left( \hat{\mathbf{S}}_\alpha \cdot \hat{\mathbf{z}}_\alpha \right) \hat{\mathbf{z}}_\alpha$$

Anisotropic Exchange and Dipole Hamiltonians project onto:

$$H_{\text{Ex}} \rightarrow \lambda_{\text{Ex}1} H_1 - \lambda_{\text{Ex}2} H_2 + \lambda_{\text{Ex}3} H_3 - \lambda_{\text{Ex}4} H_4 + \lambda_{\text{Ex}5} (H_0 - H_3)$$

$$H_D \rightarrow \lambda_{D0} H_0 + \lambda_{D1} H_1 - \lambda_{D2} H_2 + \lambda_{D4} H_4 + \lambda_{D5} (H_0 - H_3)$$

Combines to give phenomenological Hamiltonian

$$H = J_0 (H_1 - \delta H_4 - \eta H_0 + \xi (H_0 - H_3) - \mu H_2$$

Coefficients chosen to be positive: provides classical g.s. manifold with previously discussed  $\theta$  and  $\varphi$  degeneracy [except  $H_0$ : triple-q g.s.

state]  
Coefficient choice consistent with energetics, but notice sign of  $H_4$ :  
dipole frustrated; turns out consistent with heavily reduced (111) spot

# Minimal model for gap-less spin waves

$$H = J_0(H_1 - \delta H_4)$$

$$H_1 = \frac{1}{2} \hat{\mathbf{T}} \cdot \hat{\mathbf{T}}$$

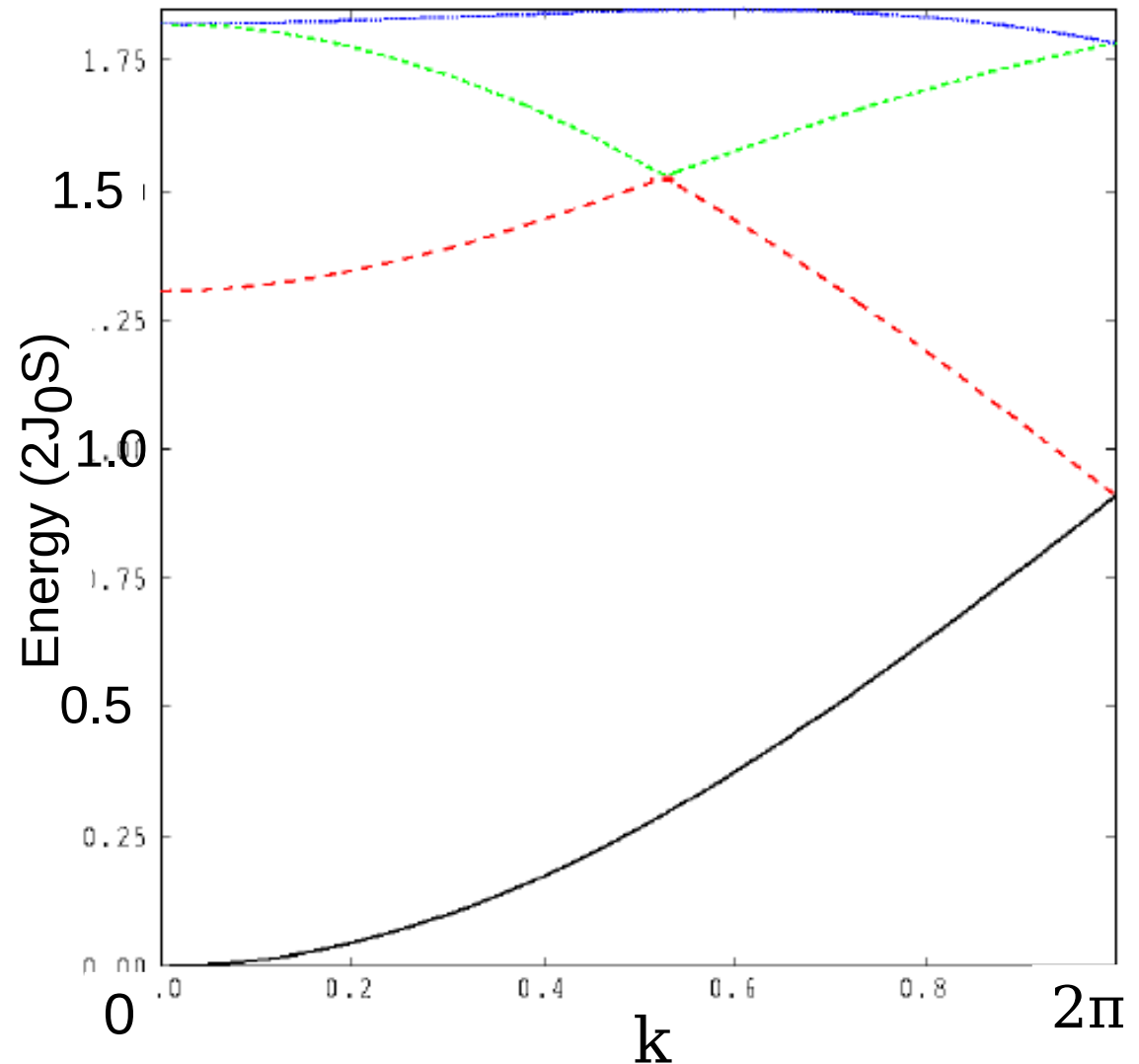
$$H_4 = \frac{1}{2} \hat{\mathbf{A}} \cdot \hat{\mathbf{A}}$$

Dispersion shown for experimentally observed  $\theta \sim 0.2\pi$  and  $\varphi = \pi$ ; i.e.

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle.$$

Classical g.s. has  $\theta$  and  $\varphi$  degeneracy ( $\mathbf{S}_0$  orientation)

Lifted by quantum fluctuations?

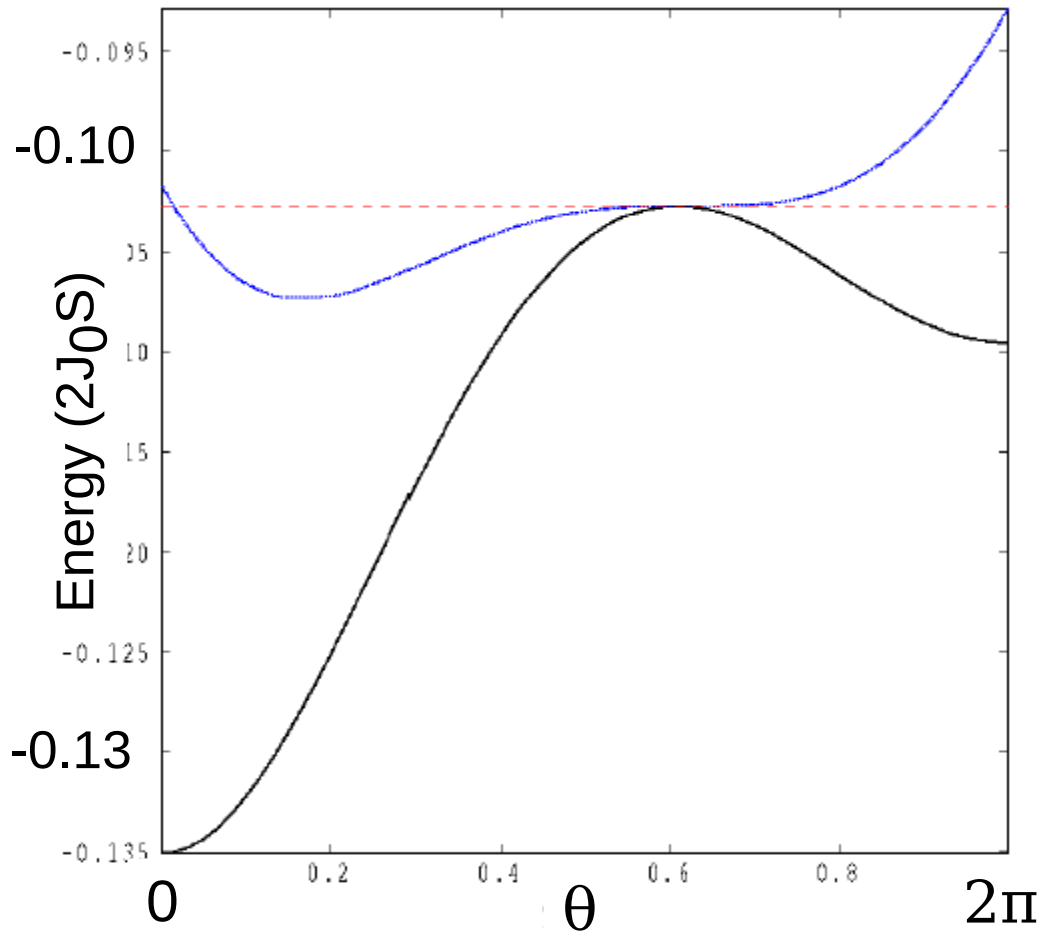


Where:

$$\hat{\mathbf{T}} = \hat{\mathbf{J}}_0 + \hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2 + \hat{\mathbf{J}}_3$$

$$\hat{\mathbf{A}} = \left( \hat{J}_0^x + \hat{J}_1^x - \hat{J}_2^x - \hat{J}_3^x \right) \hat{\mathbf{x}} + \left( \hat{J}_0^y - \hat{J}_1^y + \hat{J}_2^y - \hat{J}_3^y \right) \hat{\mathbf{y}} + \left( \hat{J}_0^z - \hat{J}_1^z - \hat{J}_2^z + \hat{J}_3^z \right) \hat{\mathbf{z}}.$$

# Competing crystal field and quantum fluctuations



Black curve: Quantum fluctuation energy: QFE( $\theta$ ) for  $\delta=0.2$  and  $\eta=0$   
 Minimum: co-linear single-q magnet (spins point along a Cartesian axis)

$$H = J_0(H_1 - \delta H_4 - \eta H_0)$$

Residual crystal field term

$$H_0 = \frac{1}{2} \sum_{\alpha} (\hat{z}_{\alpha} \cdot \hat{J}_{\alpha})^2$$

$\eta > 0$ : triple-q classical ground state.  
 Spins point along tetrahedral axis  
 Generates spin-wave gap  $\sim \eta$

But for pseudo-spin  $1/2$  expect large QFE. Crude resolution:

Find  $\theta$  that minimises a sum of QFE (for  $\eta=0$ ) and classical ground state energy (for  $\eta=0$ ).

Blue curve: above linear combination tuned to stabilise experimentally seen  $\theta$  value.

All calculations we choose the experimental value of  $\varphi = \pi/4$



# Conclusions

- The gapless spin-wave is not the usual transverse Goldstone mode. It describes a transferral of weight between the (022), (202) and (220) Bragg peaks. In real-space this corresponds to a rotation of the pseudo-spin angles  $\theta$  and  $\varphi$  (but maintaining the relative spin orientations within the unit cell).
- External-field single crystal elastic neutron measurements consistent with a surprising long-range ordered state. The magnetic moments do NOT lie along minima of the crystal field. [The n.n. dipole interaction also frustrated].
- We make a (phenomenological) Holstein-Primakoff analysis of the classically degenerate pseudo-spin model and find competition between this zero point energy and the residue of the classical single ion anisotropy. This produces a gapless mode which is invisible in the classical limit of the the effective Hamiltonian of this material.