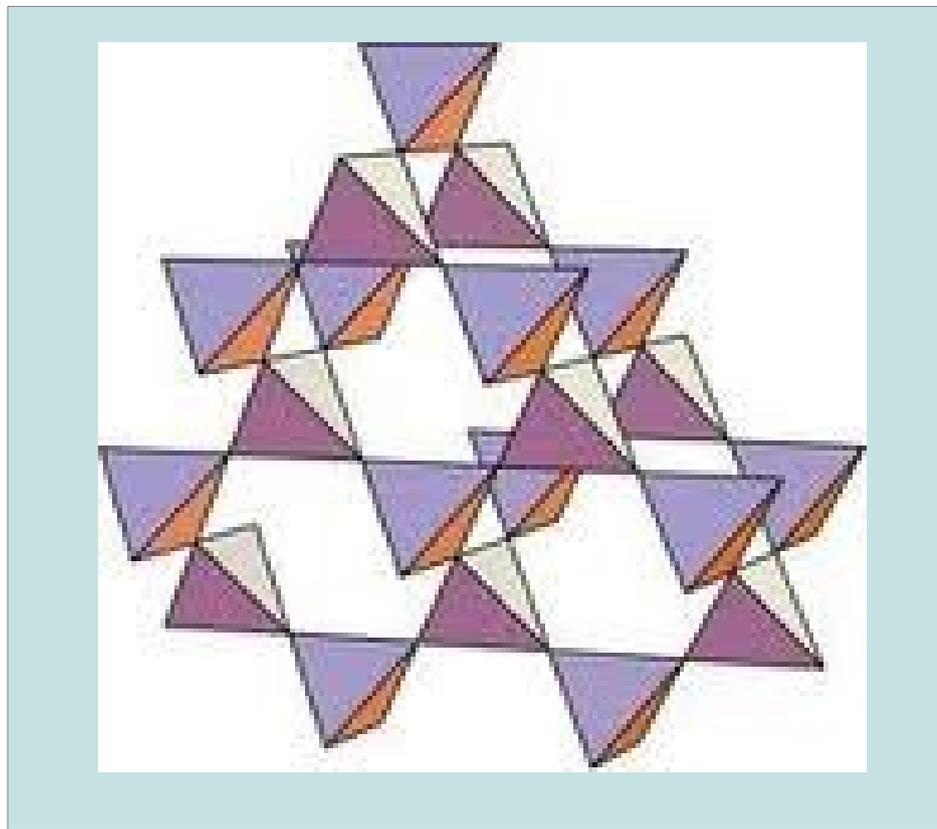




A fight between crystal fields and quantum fluctuations

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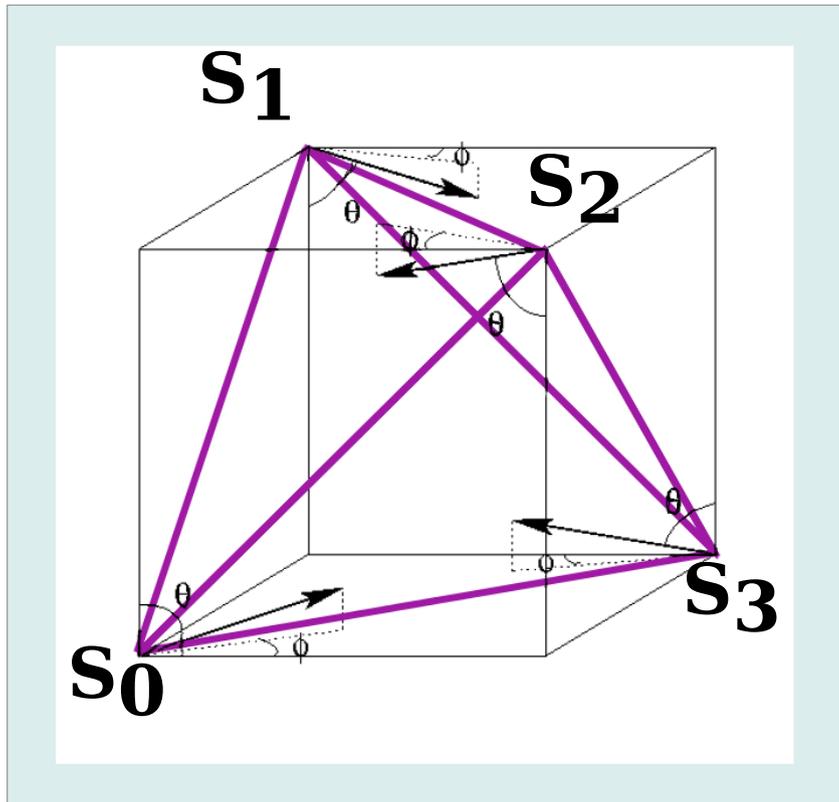
Pyrochlore lattice

Image by Balents group

Overview

- (1) Introduction to frustration in rare-earth pyrochlores
- (2) Long-range ordering and the gapless spin-wave mode
- (3) Semi-classical spin-wave model via a minimal pseudo-spin projection

(1) Frustration on the pyrochlore lattice



Heisenberg model

$$H = \frac{1}{2} \sum_{jj'} J_{jj'} \mathbf{S}_j \cdot \mathbf{S}_{j'}$$

$$= 2J_0 \sum_t \mathbf{T} \cdot \mathbf{T}_t$$

$$\mathbf{T} = \frac{1}{2} [\mathbf{S}_0 + \mathbf{S}_1 + \mathbf{S}_2 + \mathbf{S}_3]$$

Alternatively consider degeneracy in reciprocal space...

Block transforming to k-space gives

$$H = \frac{1}{2} \sum_{jj'} J_{jj'} \mathbf{S}_j \cdot \mathbf{S}_{j'} = \sum_{\mathbf{k}} \mathbf{S}_{\mathbf{k}}^* \cdot \mathbf{S}_{\mathbf{k}} J(\mathbf{k})$$

Ground state minimises Structure factor:

But n.n. Heisenberg $J(\mathbf{k})$ on a pyrochlore lattice
has **huge degeneracy**

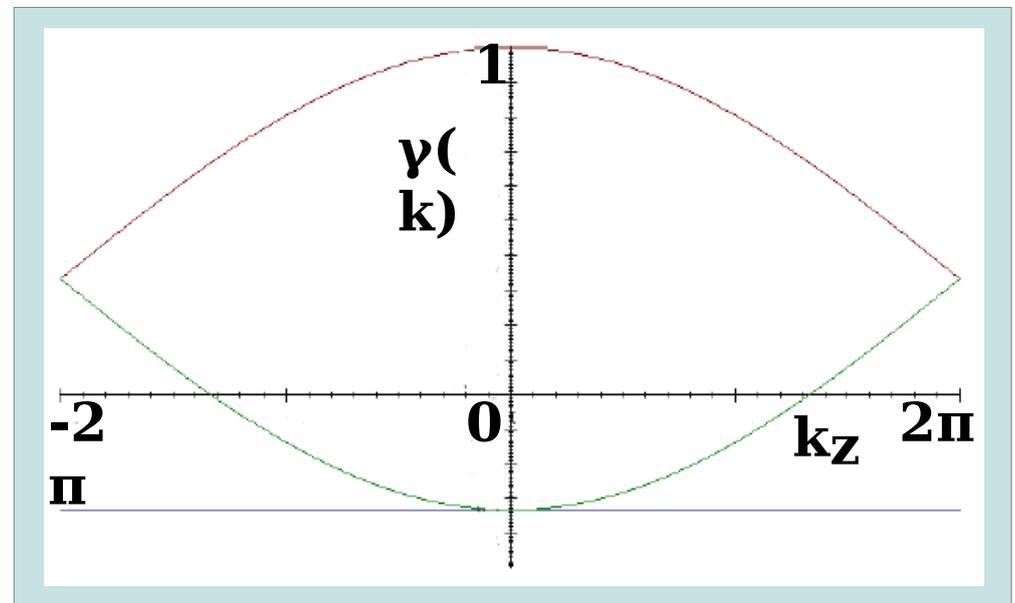
$$J(\mathbf{k}) = \sum_s J_s Z_s \gamma_s(\mathbf{k}) \quad \gamma_s(\mathbf{k}) = \frac{1}{Z_s} \sum_{\langle 0n \rangle_s} e^{i\mathbf{k} \cdot \mathbf{R}_n}$$

Two flat bands

Corresponds to
half of k-points

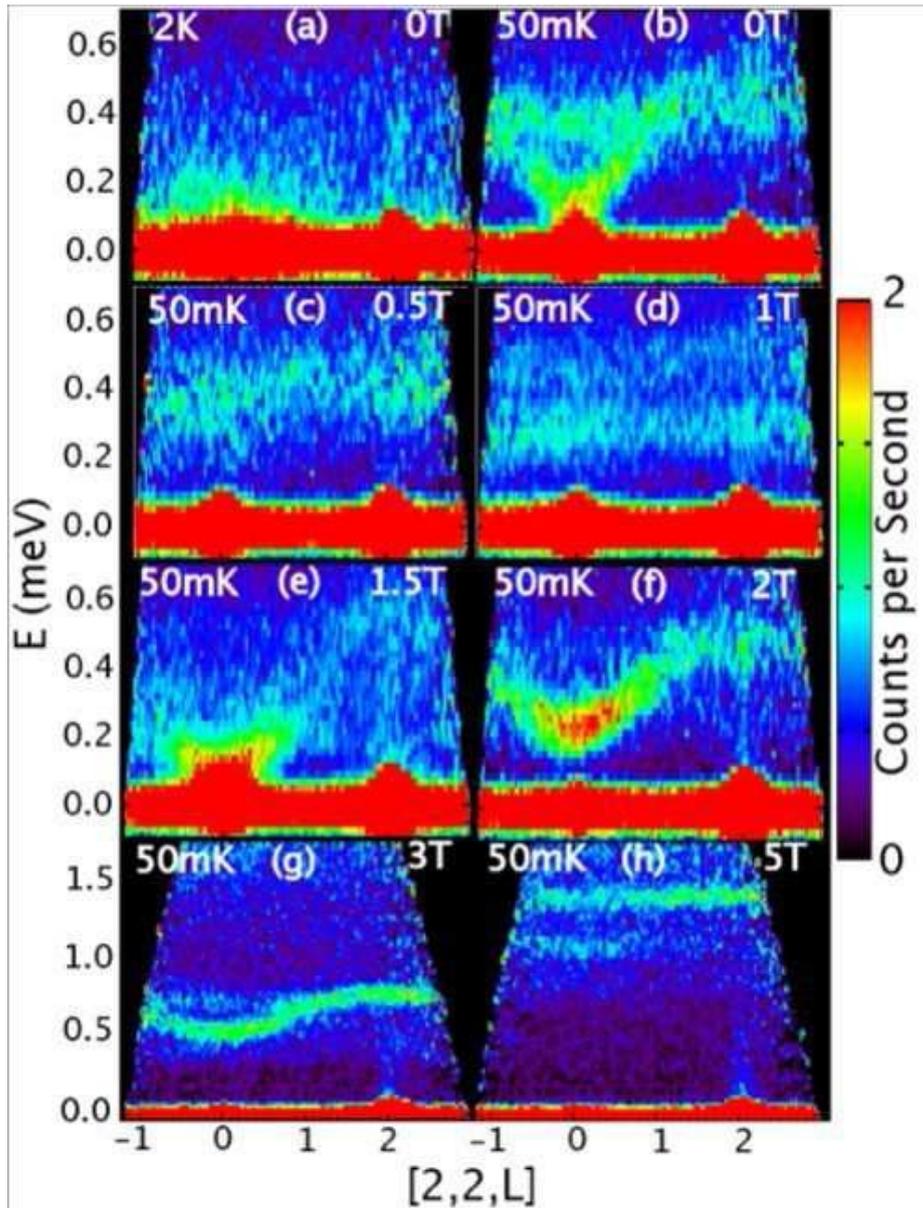
Degeneracy lifted by:
Crystal Field
Dipole interactions

Further neighbour Heisenberg



Plotted for $k_x = k_y = 0$

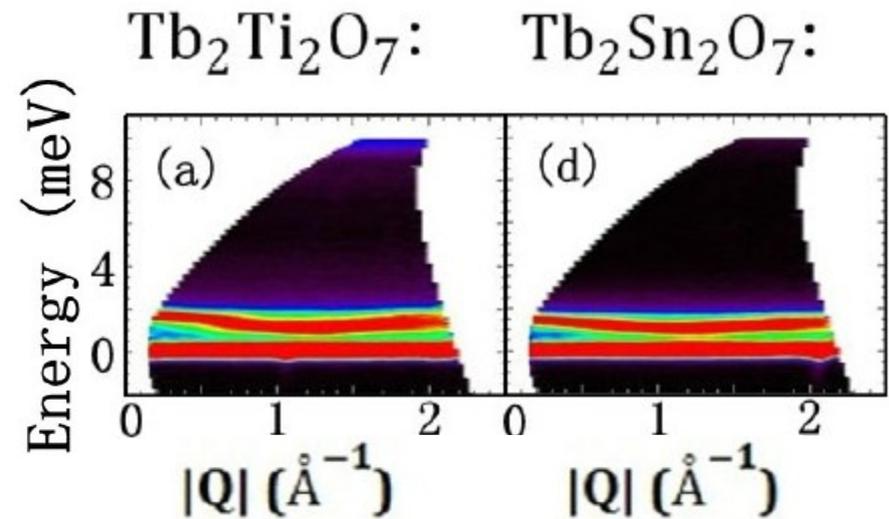
Why study Erbium Titanate? (Nearly) gapless spin-wave mode



JPC Ruff; PRL **101**, 147205 (2008)

Gap < 0.05 meV
KA Ross; PRL **112**, 057201 (2014)

Compare to terbium pyrochlores
Gap ~ 1 meV



J Zhang; PRB **89**, 134410 (2014)

(2) Long-range magnetic order

Chemistry

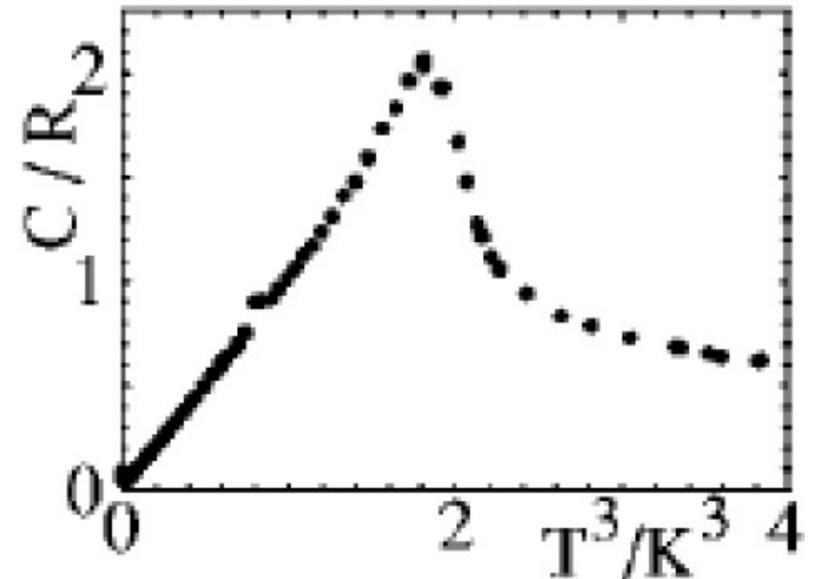
Ti⁴⁺ and O²⁺: closed shell so zero magnetic moment

Er³⁺: [Xe]4f¹¹ → model as three holes using Hund's rules
S = 3/2 L = 6 J=15/2 16 degenerate J_Z states

Curie-Weiss $\Theta_C \sim -20\text{K}$ →
frustrated AFM: $T_N = 1.3\text{K}$

Specific heat linear below T_N
signature of gapless spin-wave

Entropy from transition $\sim \ln 2$ →
pseudo-spin $\frac{1}{2}$ low energy effective
model



JDM Champion; PRB **68** 020401 (2003)

Elastic powder neutron scattering find $\mathbf{k}=\mathbf{0}$ order with a reduced moment of about 1/3 of theoretical maximum value for Er³⁺

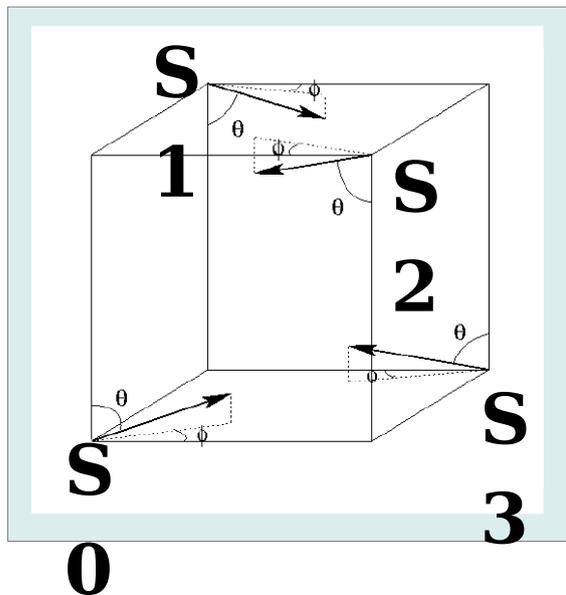
Elastic neutron scattering for pyrochlores

Bragg spot intensity

$$I(\mathbf{k}, \mathbf{S}_{\mathbf{k}}) \sim |\mathbf{f}(\mathbf{k})|^2 \left[1 - |\hat{\mathbf{k}} \cdot \hat{\mathbf{S}}_{\mathbf{k}}|^2 \right] |\mathbf{S}_{\mathbf{k}}|^2$$

Structure factor

$$\mathbf{S}_{\mathbf{k}} = \frac{1}{N_0} \sum_{\alpha} e^{i\mathbf{k} \cdot (\mathbf{c}_{\alpha})} \mathbf{S}_{j\alpha}$$



$$\begin{aligned} \mathbf{c}_0 &= \langle 000 \rangle \\ \mathbf{c}_1 &= \frac{a}{4} \langle 011 \rangle \\ \mathbf{c}_2 &= \frac{a}{4} \langle 101 \rangle \\ \mathbf{c}_3 &= \frac{a}{4} \langle 110 \rangle \end{aligned}$$

For $\mathbf{k}=\mathbf{0}$, structure factors are a linear combination of only four spins, eg.

$$\begin{aligned} \mathbf{S}_{\mathbf{Q}_0} &= \frac{1}{4} (\mathbf{S}_0 + \mathbf{S}_1 + \mathbf{S}_2 + \mathbf{S}_3) \\ \mathbf{S}_{\mathbf{K}_0} &= \frac{1}{4} (\mathbf{S}_0 - \mathbf{S}_1 - \mathbf{S}_2 - \mathbf{S}_3) \end{aligned}$$

$$\mathbf{Q}_0 = (000), \quad \mathbf{Q}_1 = (200), \quad \mathbf{Q}_2 = (020), \quad \mathbf{Q}_3 = (002),$$

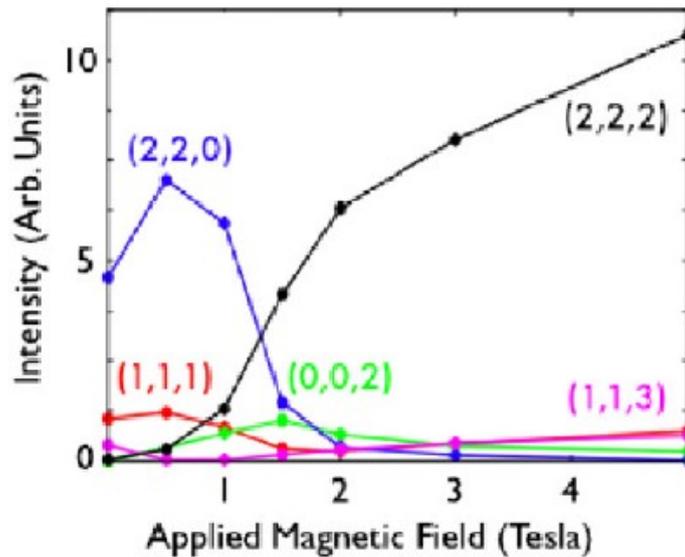
$$\mathbf{K}_0 = (111), \quad \mathbf{K}_1 = (1\bar{1}\bar{1}), \quad \mathbf{K}_2 = (\bar{1}1\bar{1}), \quad \mathbf{K}_3 = (\bar{1}\bar{1}1).$$

The \mathbf{k} -points of the eight different structure factors

Structure factors not independent for pyrochlores

$$\begin{aligned} \mathbf{S}_{\mathbf{K}_0} &= \frac{1}{2} (-\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}), & \mathbf{S}_{\mathbf{K}_1} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} - \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}) \\ \mathbf{S}_{\mathbf{K}_2} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} - \mathbf{S}_{\mathbf{Q}_2} + \mathbf{S}_{\mathbf{Q}_3}), & \mathbf{S}_{\mathbf{K}_3} &= \frac{1}{2} (+\mathbf{S}_{\mathbf{Q}_0} + \mathbf{S}_{\mathbf{Q}_1} + \mathbf{S}_{\mathbf{Q}_2} - \mathbf{S}_{\mathbf{Q}_3}) \end{aligned}$$

Single Crystal Data in a $\langle 110 \rangle$ magnetic field



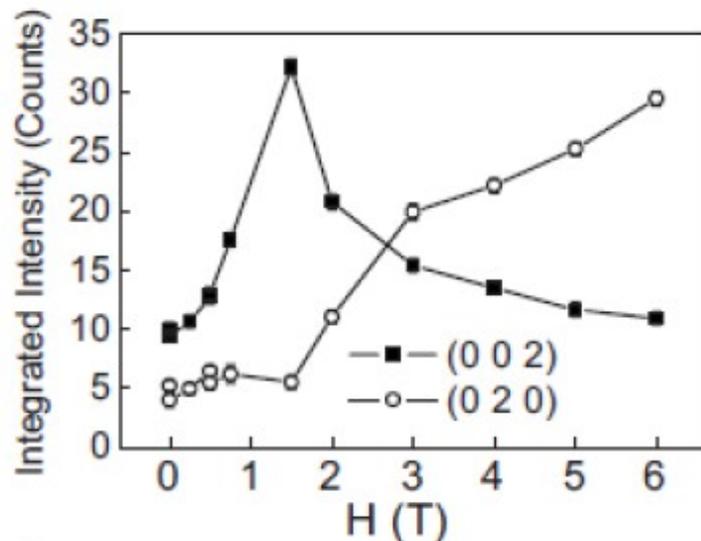
JPC Ruff; PRL **101**, 147205 (2008)

(222) spot absent: no ferromagnetism

$(2\bar{2}\bar{2})$ is a reciprocal lattice vector, so (002) and (220) share the same structure factor

(002) absent but (220) dominant: consider orientational factor: $\left[1 - |\hat{\mathbf{k}} \cdot \hat{\mathbf{S}}_{\mathbf{k}}|^2 \right]$

$$\mathbf{S}_{(200)} = S_x \hat{\mathbf{x}} \quad \mathbf{S}_{(020)} = S_y \hat{\mathbf{y}} \quad \mathbf{S}_{(002)} = S_z \hat{\mathbf{z}}$$



HB Cao PRB **82** 104431 (2010)

And invert back to real-space

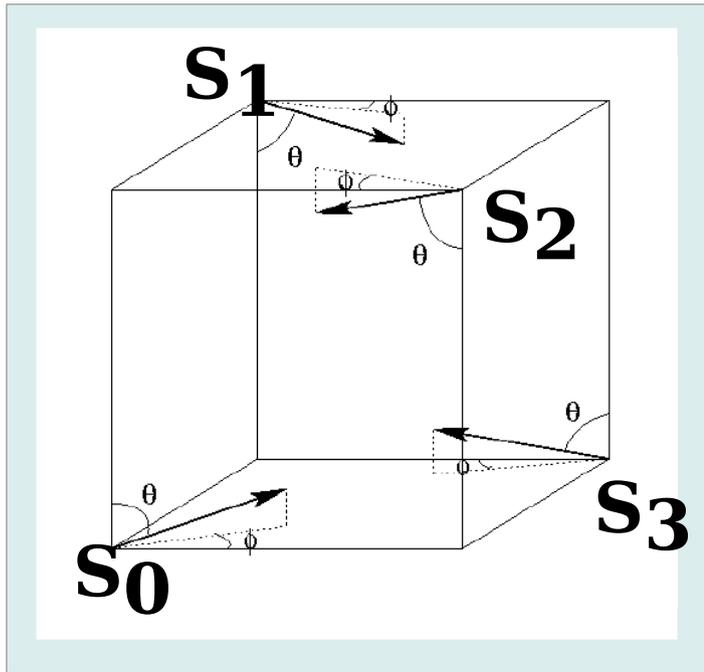
$$\mathbf{S}_0 = S_x \hat{\mathbf{x}} + S_y \hat{\mathbf{y}} + S_z \hat{\mathbf{z}},$$

$$\mathbf{S}_1 = S_x \hat{\mathbf{x}} - S_y \hat{\mathbf{y}} - S_z \hat{\mathbf{z}},$$

$$\mathbf{S}_2 = -S_x \hat{\mathbf{x}} + S_y \hat{\mathbf{y}} - S_z \hat{\mathbf{z}}$$

$$\mathbf{S}_3 = -S_x \hat{\mathbf{x}} - S_y \hat{\mathbf{y}} + S_z \hat{\mathbf{z}}$$

We have determined the relative spin orientations:

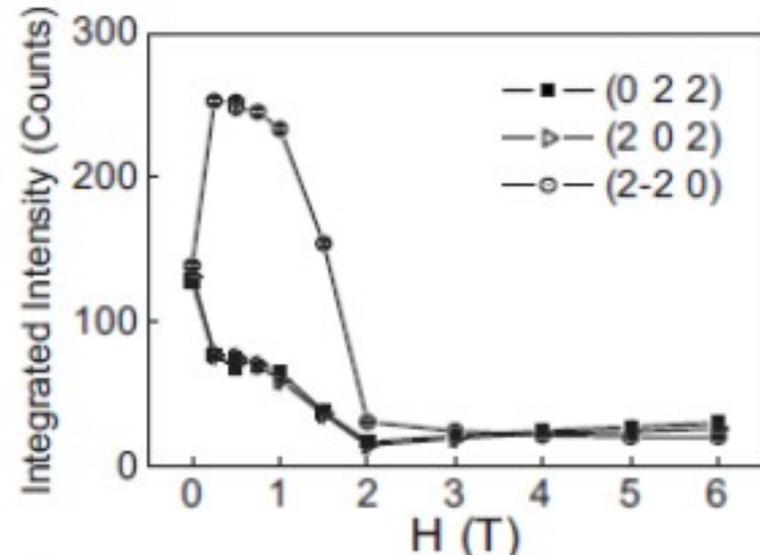


Two remaining degrees of freedom
View as orientation of \mathbf{S}_0
i.e. the angles θ and φ

Next (022), (202) and (220) peaks
All have maximal orientational factor
All have an equal form factor

$$\mathbf{S}_{(022)} = S_x \hat{x} \quad \mathbf{S}_{(202)} = S_y \hat{y} \quad \mathbf{S}_{(220)} = S_z \hat{z}$$

Magnetic field selects z-direction as special: biases domain population



HB Cao PRB **82** 104431 (2010)

$$I((002)) = I((202)) \rightarrow S_x = S_y > 0$$

$$\sin^2 \theta (\cos^2 \phi - \sin^2 \phi) = 0 \quad \phi = \pm \frac{\pi}{4}, \pm \frac{3\pi}{4}$$

$$\frac{I((2, -2, 0))}{I((0, 2, 2))} = 2 \cot^2 \theta \approx \frac{260}{80}$$

$$\theta \approx \pm 0.2\pi, \pm 1.2\pi$$

$$\mathbf{S}_0 \approx \frac{1}{\sqrt{6}} \langle \pm 1, \pm 1, \pm 2 \rangle$$

Finally there is still the (111) Bragg spot to consider

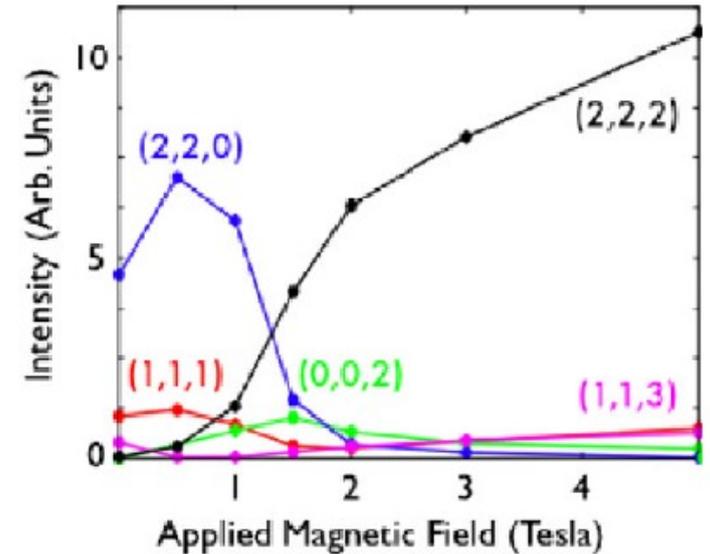
Structure factors are not independent
Which leads to

$$\mathbf{S}_{(000)} = \mathbf{0} \rightarrow \mathbf{S}_{(111)} = \mathbf{S}_0/2 \neq \mathbf{0}$$

So consider orientational factor

$I((111))$ is weak so

\mathbf{S}_0 must point close to $\langle 111 \rangle$ direction.



Single crystal data by Ruff *et al.* shows

$$\frac{I((1, 1, 1))}{I((2, 2, 0))} \sim \frac{1}{5}$$

Consider the three (non-symmetrically related) possibilities for \mathbf{S}_0

(We use a very crude estimate for the form factor)

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, -2 \rangle$$

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle -1, 1, 2 \rangle$$

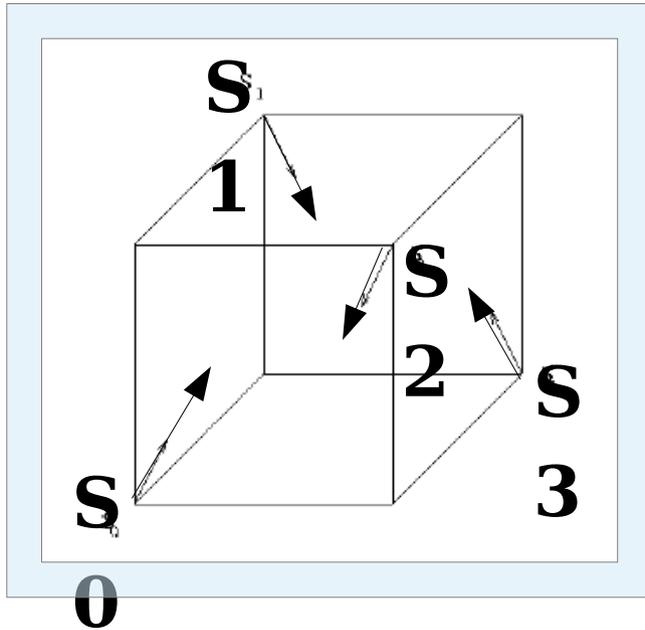
$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle.$$

$$\frac{I((1, 1, 1))}{I((2, 2, 0))} \sim \frac{9}{8}$$

$$\frac{I((2, 2, 0))}{I((1, 1, 1))} \sim \frac{8}{7}$$

$$\frac{I((2, 2, 0))}{I((1, 1, 1))} \sim 8$$

Physical Interpretation of ordered state and gapless spin-wave



Domain selected by $\langle 110 \rangle$ field

$$S_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle, \quad S_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -2 \rangle$$

$$S_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -2 \rangle, \quad S_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 2 \rangle$$

Can re-write as 'triple-q' state plus co-linear AFM along z-direction

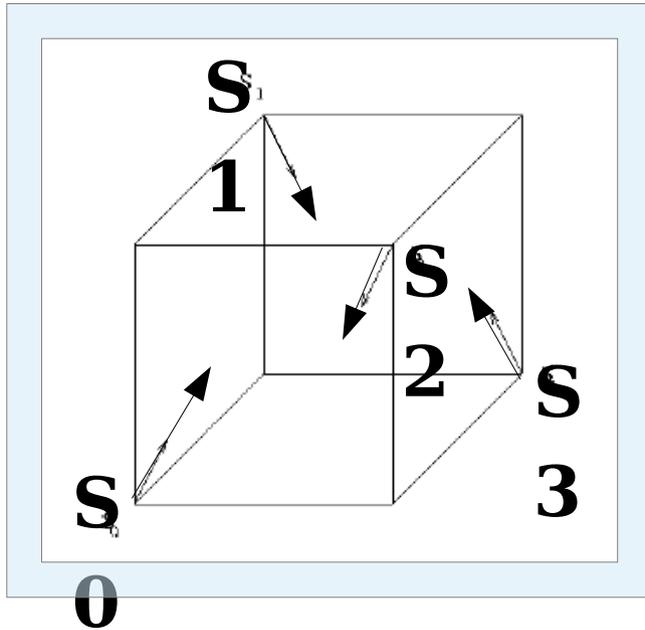
$$S_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, 1 \rangle, \quad S_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, -1 \rangle,$$

$$S_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, -1 \rangle, \quad S_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 1 \rangle + \frac{1}{\sqrt{6}} \langle 0, 0, 1 \rangle$$

$\langle 112 \rangle$ is not a natural crystallographic direction.
Crystal field interaction must be frustrated via a competition with other interactions.

How can this be understood energetically?

Physical Interpretation of ordered state and gapless spin-wave



Domain selected by $\langle 110 \rangle$ field

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle, \quad \mathbf{S}_1 = \frac{1}{\sqrt{6}} \langle 1, -1, -2 \rangle$$

$$\mathbf{S}_2 = \frac{1}{\sqrt{6}} \langle -1, 1, -2 \rangle, \quad \mathbf{S}_3 = \frac{1}{\sqrt{6}} \langle -1, -1, 2 \rangle$$

Remember structure factors

$$\mathbf{S}_{(200)} = S_x \hat{\mathbf{x}} \quad \mathbf{S}_{(020)} = S_y \hat{\mathbf{y}} \quad \mathbf{S}_{(002)} = S_z \hat{\mathbf{z}}$$

Normally for rare-earth magnets: Strong crystal field interaction provides a finite energy penalty for global spin rotations.

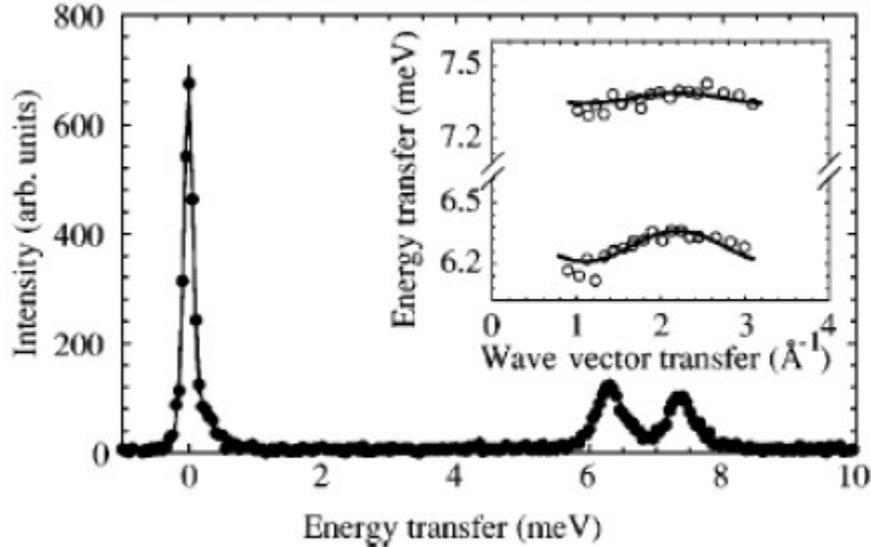
So the standard transverse spin-waves are strongly gapped.

Here spin-wave mode at (220) consistent with transferring weight between to (022); (202) and (220) Bragg peaks.

This changes θ and φ of \mathbf{S}_0 (with relative spin directions maintained)

(3) Energetic modelling

Crystal field largest energy scale
 Energy gap from ground-state doublet
 To first excited state 70K



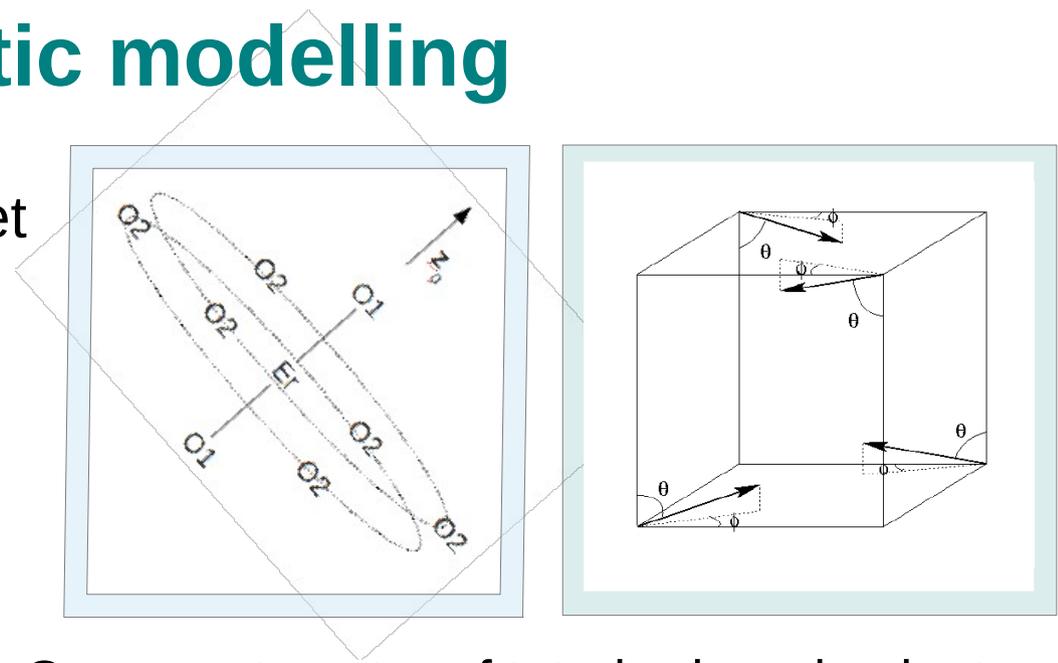
JDM Champion; PRB **68** 020401 (2003)

For one hole, use Y_{30} orbital so project onto minimal J_z

Er^{3+} has 3 holes so only approximate (other low J_z states will be mixed in)

Resulting pseudo-spin $1/2$ state
 can be orientated in any direction

$$|\psi^\pm\rangle = e^{\mp\frac{i\phi}{2}} \cos\frac{\theta}{2} \left|J, \pm\frac{1}{2}\right\rangle \pm e^{\pm\frac{i\phi}{2}} \sin\frac{\theta}{2} \left|J, \mp\frac{1}{2}\right\rangle$$



Oxygen at centre of tetrahedron dominates
 So choose each local quantisation axis
 to point towards the cube centre

Angles θ and ϕ determined by
 n.n. Heisenberg and n.n Dipole
 Both have energy scale of $\sim 1K$

Energetically motivated phenomenological Hamiltonians

Local dipole interaction $H_D = J_D \left\{ \frac{7}{4}H_1 + \frac{3}{4}H_4 + \frac{9}{2}H_0 - \frac{9}{4}H_2 \right\}$

Anisotropic n.n Heisenberg as $H_{\text{EX}} = a_{\text{EX}}H_1 - b_{\text{EX}}H_4$

Orbitally generated exchange anisotropy out of control
 – forces us to use phenomenological coefficients.

$$H_0 = \frac{1}{2} \sum_{\alpha} \left(\hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)^2 \quad H_1 = \frac{1}{2} \hat{\mathbf{T}} \cdot \hat{\mathbf{T}} \quad H_2 = \hat{\mathbf{T}} \cdot \hat{\mathbf{z}}_{\alpha} \sum_{\alpha} \left(\hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)$$

$$H_3 = \frac{1}{8} \left(\sum_{\alpha} \hat{\mathbf{z}}_{\alpha} \cdot \hat{\mathbf{J}}_{\alpha} \right)^2 \quad H_4 = \frac{1}{2} \hat{\mathbf{A}} \cdot \hat{\mathbf{A}}$$

$$\hat{\mathbf{T}} = \hat{\mathbf{J}}_0 + \hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2 + \hat{\mathbf{J}}_3$$

$$\hat{\mathbf{A}} = \left(\hat{J}_0^x + \hat{J}_1^x - \hat{J}_2^x - \hat{J}_2^x \right) \hat{\mathbf{x}} + \left(\hat{J}_0^y - \hat{J}_1^y + \hat{J}_2^y - \hat{J}_3^y \right) \hat{\mathbf{y}} + \left(\hat{J}_0^z - \hat{J}_1^z - \hat{J}_2^z + \hat{J}_3^z \right) \hat{\mathbf{z}}.$$

\mathbf{Z}_{α} describes local quantisation axis directed towards cube centre

Pseudo-spin projection

To project onto maximal J_Z states
[appropriate for modelling spin-ice]

$$\hat{\mathbf{J}}_\alpha \mapsto 2J \left(\hat{\mathbf{S}}_\alpha \cdot \hat{\mathbf{z}}_\alpha \right) \hat{\mathbf{z}}_\alpha$$

We choose to use minimal J_Z projection

$$\hat{\mathbf{J}}_\alpha \mapsto \left(J + \frac{1}{2} \right) \hat{\mathbf{S}}_\alpha - \left(J - \frac{1}{2} \right) \left(\hat{\mathbf{S}}_\alpha \cdot \hat{\mathbf{z}}_\alpha \right) \hat{\mathbf{z}}_\alpha$$

Anisotropic Exchange and Dipole Hamiltonians project onto:

$$H_{\text{Ex}} \rightarrow \lambda_{\text{Ex}1} H_1 - \lambda_{\text{Ex}2} H_2 + \lambda_{\text{Ex}3} H_3 - \lambda_{\text{Ex}4} H_4 + \lambda_{\text{Ex}5} (H_0 - H_3)$$

$$H_D \rightarrow \lambda_{D0} H_0 + \lambda_{D1} H_1 - \lambda_{D2} H_2 + \lambda_{D4} H_4 + \lambda_{D5} (H_0 - H_3)$$

Combines to give phenomenological Hamiltonian

$$H = J_0 (H_1 - \delta H_4 - \eta H_0 + \xi (H_0 - H_3) - \mu H_2$$

Coefficients chosen to be positive: provides classical g.s. manifold with previously discussed θ and φ degeneracy [except H_0 : triple-q g.s.

state]
Coefficient choice consistent with energetics, but notice sign of H_4 :
dipole frustrated; turns out consistent with heavily reduced (111) spot

Minimal model for gap-less spin waves

$$H = J_0(H_1 - \delta H_4)$$

$$H_1 = \frac{1}{2} \hat{\mathbf{T}} \cdot \hat{\mathbf{T}}$$

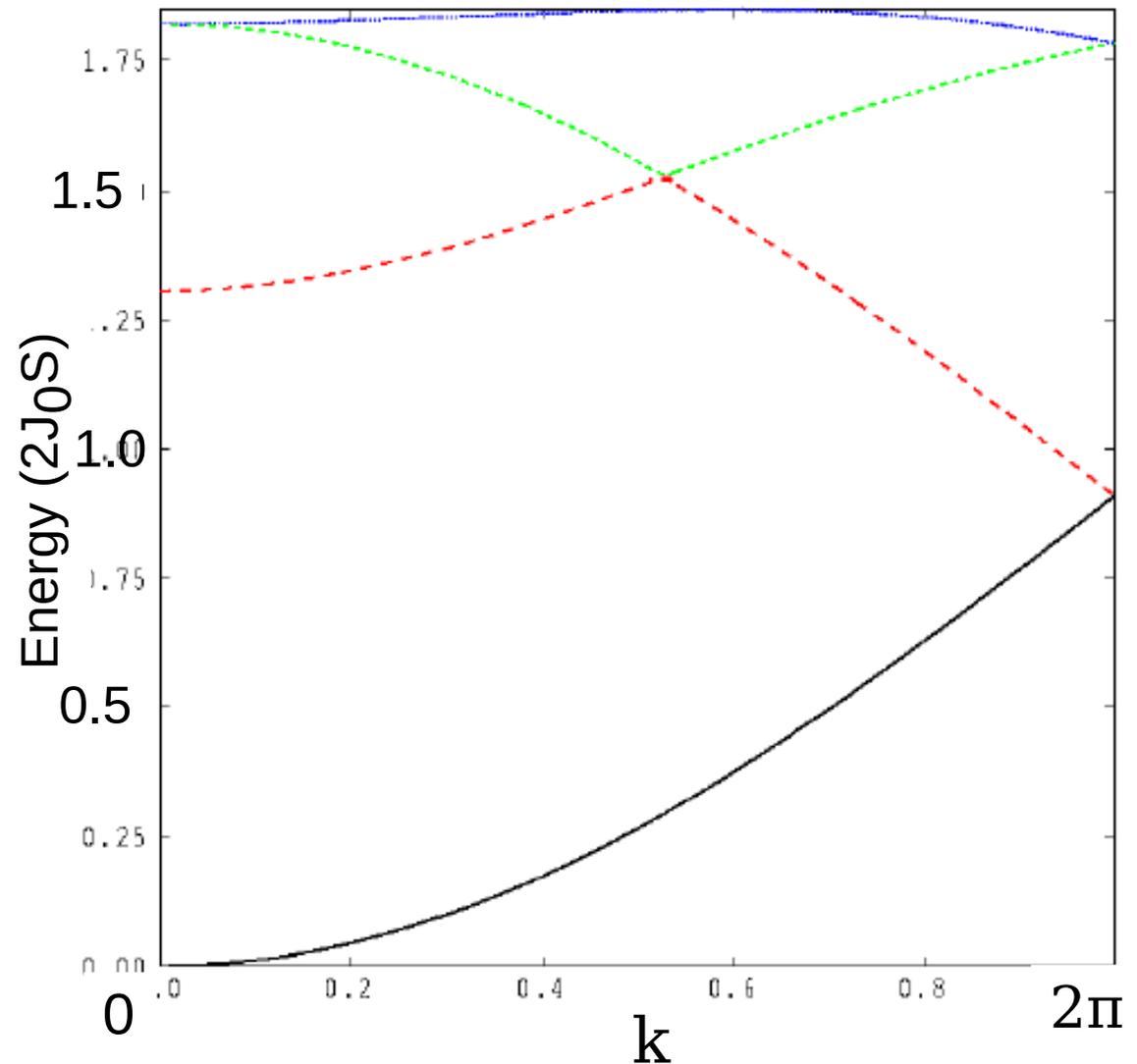
$$H_4 = \frac{1}{2} \hat{\mathbf{A}} \cdot \hat{\mathbf{A}}$$

Dispersion shown for experimentally observed $\theta \sim 0.2\pi$ and $\varphi = \pi$; i.e.

$$\mathbf{S}_0 = \frac{1}{\sqrt{6}} \langle 1, 1, 2 \rangle.$$

Classical g.s. has θ and φ degeneracy (\mathbf{S}_0 orientation)

Lifted by quantum fluctuations?

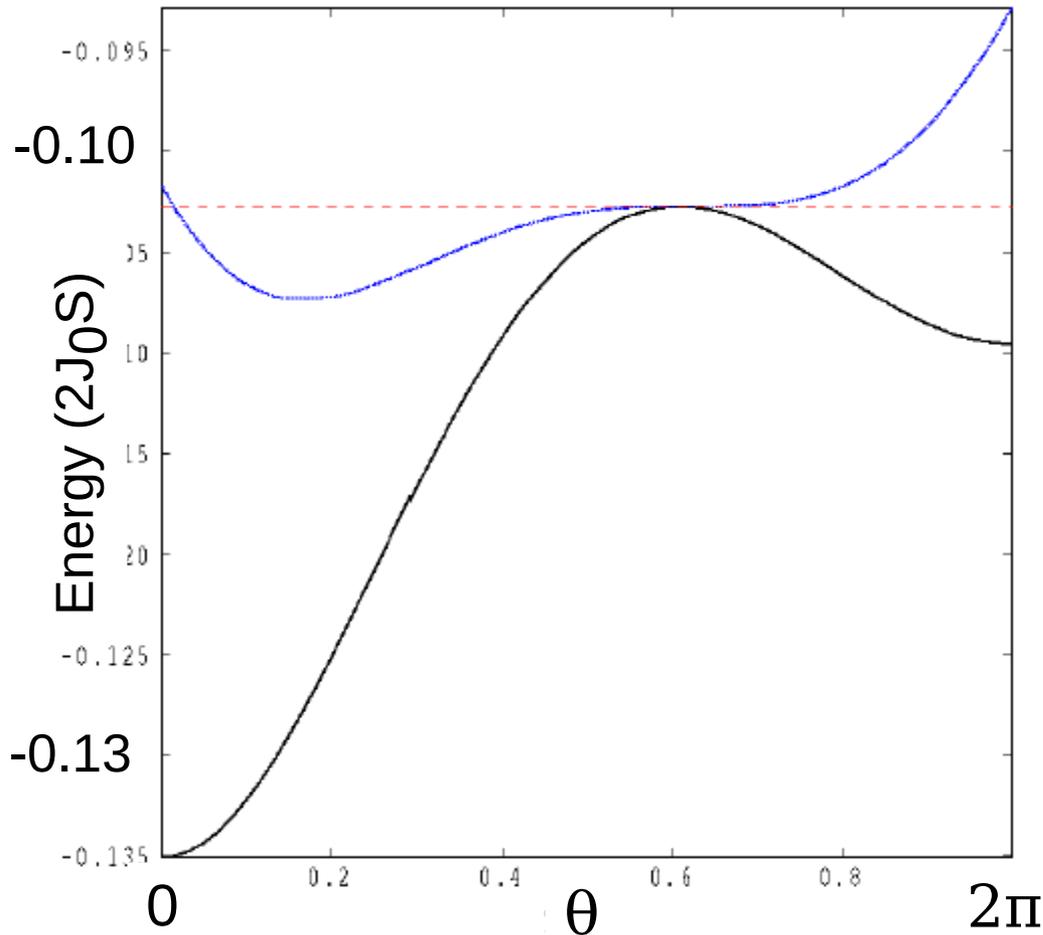


Where:

$$\hat{\mathbf{T}} = \hat{\mathbf{J}}_0 + \hat{\mathbf{J}}_1 + \hat{\mathbf{J}}_2 + \hat{\mathbf{J}}_3$$

$$\hat{\mathbf{A}} = \left(\hat{J}_0^x + \hat{J}_1^x - \hat{J}_2^x - \hat{J}_3^x \right) \hat{\mathbf{x}} + \left(\hat{J}_0^y - \hat{J}_1^y + \hat{J}_2^y - \hat{J}_3^y \right) \hat{\mathbf{y}} + \left(\hat{J}_0^z - \hat{J}_1^z - \hat{J}_2^z + \hat{J}_3^z \right) \hat{\mathbf{z}}.$$

Competing crystal field and quantum fluctuations



Black curve: Quantum fluctuation energy: QFE(θ) for $\delta=0.2$ and $n=0$
 Minimum: co-linear single-q magnet (spins point along a Cartesian axis)

$$H = J_0(H_1 - \delta H_4 - \eta H_0)$$

Residual crystal field term

$$H_0 = \frac{1}{2} \sum_{\alpha} (\hat{z}_{\alpha} \cdot \hat{J}_{\alpha})^2$$

$\eta > 0$: triple-q classical ground state.
 Spins point along tetrahedral axis
 Generates spin-wave gap $\sim \eta$

But for pseudo-spin $1/2$ expect large QFE. Crude resolution:

Find θ that minimises a sum of QFE (for $\eta=0$) and classical ground state energy (for $\eta=0$).

Blue curve: above linear combination tuned to stabilise experimentally seen θ value.

All calculations we choose the experimental value of $\varphi = \pi/4$

Conclusions

- The gapless spin-wave is not the usual transverse Goldstone mode. It describes a transferral of weight between the (022), (202) and (220) Bragg peaks. In real-space this corresponds to a rotation of the pseudo-spin angles θ and φ (but maintaining the relative spin orientations within the unit cell).
- External-field single crystal elastic neutron measurements consistent with a surprising long-range ordered state. The magnetic moments do NOT lie along minima of the crystal field. [The n.n. dipole interaction also frustrated].
- We make a (phenomenological) Holstein-Primakoff analysis of the classically degenerate pseudo-spin model and find competition between this zero point energy and the residue of the classical single ion anisotropy. This produces a gapless mode which is invisible in the classical limit of the the effective Hamiltonian of this material.